**International Space Science Institute** 

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**International Teams in Space Science** 

# Generation of Climate Data Records of Sea-Surface Temperature from Current and Future Satellite Radiometers

**Report of the Second Workshop** 

October 1-5, 2012

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#### Abstract

This report summarizes the presentations and discussions at the Second Workshop of the International Team on the *Generation of Climate Data Records of Sea-Surface Temperature from Current and Future Satellite Radiometers*. The Workshop was held at the ISSI from October 1 to 5, 2012. The purpose of the presentations was to ensure that all of the Team Members were all aware of developments since the First Workshop irrespective of their own specialties. The discussions were focused on how best to move forward with establishing the justification of the term Climate Data Record when applied to Sea-Surface Temperatures derived from measurements of satellite radiometers. A number of particular research areas that need attention were discussed.

#### Disclaimer

The mention here of the names of manufacturers or of instruments is for illustrative purposes only, and does not represent endorsement of the International Space Science Institute, or of the authors of this document, or of any institution or agency with which they are affiliated.

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## **1** Workshop Participants

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Participants (left to right): Gary Wick, Sandra Castro Lei Guan, Craig Donlon, Gary Corlett, Tim Nightingale Andy Jessup, Werenfrid Wimmer, Theo Theocharous and Peter Minnett.

# 2 Introduction

The Second Workshop of the ISSI Study Project on the *Generation of Climate Data Records* (*CDRs*) of *Sea-Surface Temperature* (*SST*) from current and future satellite radiometers was held at the ISSI in Bern, Switzerland on October 1-5, 2012. The goals of this ISSI Study Project are to:

- 1. Review the results of the three Miami infrared workshops and lay the groundwork for the next series of workshops to be held in the USA or Europe.
- 2. Review the current "state of the art" of satellite SST retrieval uncertainties, and identify the contributions to the satellite-derived uncertainty budget from the validating radiometers, and from the method of validation.
- 3. Revisit the specifications for future SST validation radiometers.
- 4. Establish and publish a Best Practices Handbook for validation of satellite-derived SSTs.
- 5. Ensure the steps to establishing SST CDRs are rigorous and well-understood by those involved in this activity.
- 6. Make longer term, coordinated plans to validate new satellite radiometers VIIRS on NPP and JPSS, and SLSTR on Sentinel-3.
- 7. Coordinate the validation of the satellite-derived SSTs within the framework of the CEOS QA4EO.
- 8. Examine the initial validation results of the VIIRS on NPP.
- 9. Finalize publications arising from the Study Projects.

Much of the fundamental research and field programs that provide the framework of the ISSI Study Project are funded from national sources. The research and the transition of results into the operational community is facilitated through the Group for High Resolution SST (GHRSST; Donlon et al., 2009) in which any of the participants in the Study Project are active. A newly constituted SST Science Team, formed under aegis of the NASA Physical Oceanography Program, provides a loose framework and discussion forum for a large group of active researchers. The role of this ISSI Study Project is to coordinate the effort and facilitate activities of a small subgroup of the satellite SST community concerned with the generation of CDRs of SST. Further background information can be found in the report of the First Workshop (Minnett and Corlett, 2012)<sup>1</sup>.

# **3** Workshop Objectives

The main Workshop Objectives were to continue to the work begun in the First Workshop that addresses the list of the Study Project objectives, listed above, and to formulate the contents of the Best Practices Handbook for validation of satellite-derived SSTs using ship based radiometers. The meeting was held partially in plenary, during which presentations were made with open discussions involving all, and partially in break-out groups for more specialized discussions and writing. The workshop agenda is given in the Appendix.

The plenary sessions were intended to ensure all participants were aware of the current state of the field with presentations on the characteristics of satellite radiometers, including those recently launched, and scheduled for launch in the next several years, of ship-board radiometers,

<sup>&</sup>lt;sup>1</sup> Available at <u>http://www.issibern.ch/teams/satradio/documents/ISSI\_Sat\_SST\_CDR\_Workshop1\_FinalReport.pdf</u>

and of in situ, sub-surface thermometers. The plenary sessions also included a discussion of the requirements of a CDR, and specifically an SST CDR.

## 4 Discussions

#### 4.1 New satellite radiometers: Suomi-NPP VIIRS

The Suomi-NPP (National Polar-orbiting Partnership) satellite was launched on October 28, 2011, and the initial cool-down of the focal plane containing the infrared detectors began in early 2012. Using SSTs derived using the at-launch atmospheric correction algorithm and the VIIRS Cloud Mask (VCM), matchups with drifting and mooted buoys were begun soon afterwards. In addition comparisons with global SST fields derived from other satellite sensors and SST L4 analyses were also conducted. Ship radiometers from RSMAS, University of Miami, were also deployed to gather skin SST measurements for the validation of the VIIRS SST retrievals.

The initial impression of the VIIRS infrared measurement and the derived SSTs is that the instrument is not introducing significant artifacts into the infrared data streams and the derived SSTs are of good quality, and moderate accuracy: all are very promising for the first model of a new instrument design in orbit. As is to be expected of a new instrument, there were a number, relatively small, of interruptions to the instrument data flow in the early commissioning phase, and as a result, the assessment of accuracies is very preliminary and limited to global matchups with drifters.

	v5.3 iQuam buoys	v5.3 GHRSST buoys				
	IDPS SST2b n	night				
median	-0.292K	-0.543K				
sd	0.601K	0.702K				
count	50561	2404				
	IDPS SST3b night					
median	-0.156K	-0.363K				
sd	0.531K	0.590K				
count	50561	2404				

Table 1. Preliminary assessment of VIIRS SST uncertainties from comparisons with drifting buoys.

IDPS is the Interface Data Processing Systems, which is the VIIRS processing system; iQuam is the "in situ SST quality monitor" program at NOAA/STAR; GHRSST buoys refer to the new generation of drifting buoys that have 0.01K resolution in the SST values in the data transmission; SST2b is the two-band ( $\lambda$ = 10.8, 12.0 µm) SST retrieval; SST3b is the three-band ( $\lambda$ = 3.7, 10.8, 12.0 µm).

Table 1 shows the preliminary assessment of VIIRS SST retrievals, using two night-time algorithms. The validation data are the subsurface temperatures measured from drifting buoys. The larger set comes from those having passed the iQuam<sup>2</sup> quality assurance test. The GHRSST (Group for High Resolution SST) buoys are a new generation of drifters that have 0.01K SST resolution in transmitted data, even though they do not yet have thermometers with calibration accuracies matching the resolution. Thus, the discrepancies between the VIIRS and in situ temperatures are not smaller for the GHRSST than for the iQuam buoys. The two sets of buoys, do not sample the global oceans very well, so there is likely a contribution to these discrepancies

<sup>&</sup>lt;sup>2</sup> http://www.star.nesdis.noaa.gov/sod/sst/iquam/about.html

from sampling uncertainties. Nevertheless, these statistics are very encouraging for the potential of VIIRS SSTs to contribute to the SST CDR.

Despite this very promising start to the VIIRS mission, the deployment of successive VIIRS on the Joint Polar Satellite System (JPSS) orbiters has been recently put on doubt by a report submitted to NOAA recommending the replacement of VIIRS by AVHRR on the JPSS satellites. In the discussion here, it was believed by all that this would be a very undesirable, regressive development and not likely to be of benefit to the SST user community.

#### 4.2 New satellite radiometers: GCOM-W1 AMSR2

The Japanese earth observation satellite Shizuku (also known as GCOM-W1: Global Change Observation Mission – Water 1) was launched on 18 May, 2012 into the A-Train orbit, which it entered in late June. The main instrument on board is the Advanced Microwave Scanning Radiometer 2 (AMSR2) which is a development of the very successful AMSR-E (Advanced Microwave Scanning Radiometer for the Earth Observing System) on the NASA Aqua satellite, and which was powered down in October 2011. AMSR2 has the low frequency channels necessary for SST measurement. Further information about AMSR2, including examples of products, are shown in the PowerPoint file provided by Dr Misako Kachi that is included in the Annex of this report.

#### 4.3 Mitigating the effects of the AATSR/SLSTR data gap

The AATSR sensor was the third in a series of instruments designed to provide global SST to an accuracy and stability suitable for climate change detection from 1991 onwards. The ATSR SST ECV CDR is provided by the ARC dataset (Merchant et al., 2012) and it can be demonstrated from independent measurements that for at least the period from 1993 to date, the uncertainty of the dataset is < 0.1 K (90% confidence) and the stability in the tropics is of order 0.03 K/decade (stability has so far only be assessed in the tropics as this is where the longest *in situ* records are).

The loss of Envisat prior to the launch of SLSTR, now not expected to fly until early-2015 at the earliest, creates an enforced break in the ATSR SST CDR. This raises two fundamental SST data continuity questions:

- 1. <u>Gap-bridging</u>: How can SST data from Sentinel 3 be traced to the same absolute temperature reference as the ARC SST data record?
- 2. <u>Gap-filling</u>: How might the data gap between Envisat and Sentinel 3 be filled using alternative sources of SST data that supports:
  - a. The maximum achievable homogeneity with both AATSR and SLSTR (minimum artifacts in SST across the sensor transitions for all regions globally);
  - b. Continuation of 'climate quality' levels of long-term stability (changes in absolute bias <5 mK/year);
  - c. Continuation of the independence of the ARC record into the Sentinel era.

From a long-term (25-30 year) ECV perspective, both are critical questions, as climate scientists need to be assured that there are no biases between SST data derived from AATSR on Envisat

and SLSTR on Sentinel 3, and that any observed change in temperature during the data gap is real. Here we focus solely on question 1 as it is most relevant to this series of workshops at ISSI.

#### 4.3.1 Proposed Solution

Comparisons of AATSR and SLSTR to ship-borne radiometers, which are periodically calibrated against international (SI) reference standards, provide traceability to SI of the skin retrievals of the satellite. To ensure the best possible AATSR-to-SLSTR traceability, the geographical and seasonal sampling of available radiometric matches made for the final years of AATSR should be broadly replicated for the early years of SLSTR. (SST biases are region and season-dependent, and these dependencies cannot be assumed to be the same for AATSR and SLSTR. Thus, radiometric matches that are not consistent in this regard would have an additional component of uncertainty when used for AATSR-SLSTR comparison). The observations for any given region should also ideally be undertaken with the same ship-borne radiometers, to minimize differences in the trace to the standard reference arising from technological differences.

However, comparison with ship-borne radiometry alone is not likely to be enough to establish the necessary stability between AATSR and SLSTR in the event that SLSTR does not meet its predicted performance (I) because of the limited statistical power of relatively small samples per ship-borne radiometer and (ii) because the limited number of regions of the global ocean that have been sampled with ship-borne radiometry matched to AATSR. Therefore, ship-borne radiometer measurements would then need to be supplemented by the more numerous *in situ* measurements that are available.

Also, in order to build confidence in the tie between AATSR and SLSTR, it is desirable to have verification of the brightness temperatures (BTs) measured by both instruments through a common intermediary. This in itself will not be enough to bridge the SST gap but will demonstrate the quality of the common fundamental CDR of related level 1 BTs. In order to do this, AATSR and SLSTR BTs should be compared to BTs measured by IASI on METOP (since IASI is also the tie for the AVHRR on METOP). The launch of METOP-B will ideally allow two IASI instruments to be compared to SLSTR. It also gives some redundancy to the system since IASI-A will be intercompared to IASI-B.

#### 4.3.2 Summary

The longer than anticipated data gap between AATSR and SLSTR poses a greater challenge to SST data continuity than anticipated. However, by exploiting all the alternative sources of SST - calibrated ship-borne radiometers, *in situ* thermometry and alternative satellite instruments - it should be possible to provide a baseline set of measurements against which to adequately compare the AATSR and SLSTR biases to achieve the goal of an ATSR-SLSTR CDR (gap-bridging). To achieve the best results will require additional analyses of the satellite data sources and assumes that no protracted Pinatubo-like event will occur before SLSTR has been commissioned. Continuity of ship-borne radiometry from the end of AATSR to the start of SLSTR is vital for the SST CDR gap-bridging function.

#### 4.4 Shipboard radiometry update

The M-AERI Mk 2 has successfully completed sea-trials on the NOAA S *Ronald H Brown* (Figure 1). The cruise was planned to be much longer, including the refurbishment of the

PIRATA moorings at 24°W off West Africa, but was curtailed due to problems with the ship's engines. Plans are being developed to mount two M-AERIs, an original version next to the Mk2, on a long Atlantic Section from Woods Hole, USA, to Cape Town, South Africa. The two RSMAS ISARs are mounted on ships that cross the Pacific Ocean and the SISTER is continuing a long-term deployment on the Cunard Liner *Queen Mary II*. The NOCS ISAR has been installed on the *Pont Aven* of Brittany Ferries that plies between Portsmouth and Santander, Plymouth and Roskoff, and Cobh and Roskoff. The Ocean University of China ISAR has been deployed on the R/V *Dong Fang Hong 2* operating in the China Seas.



Figure 1. Measurements of skin SST by the M-AERI Mk2 from NOAA Ship *Ronald H Brown*, 18 August – 6 September, 2012.

#### 4.5 CDR generation

As in the First Workshop, considerable time was spent discussing the conceptual and practical approaches to deriving a CDR based on satellite-derived skin SST retrievals. This is fundamental to the objectives of this Study Group. Starting with the flow charts derived during the First Workshop (Figures 2 and 3), the discussion was focused on the two distinct components of ensuring traceability to SI-standards through an unbroken chain of comparisons; one that takes place in the laboratory and the other at sea. Each link in the chain introduces uncertainties that have to be propagated through the process of deriving uncertainties in the satellite SSTs by comparison with ship-board radiometric measurements. The scheme for producing SI-traceable skin temperature measurements is shown in Figure 4. The operations depicts in the upper part of the figure occur in the laboratory during episodic comparisons with a transfer standard of a National Metrology Institute, such as the TXR (Transfer Radiometer; Rice and Johnson, 1998) that have taken place in a series of workshops at the University of Miami (Rice et al., 2004) and



Figure 2. Form of the schematic for generating SST CDRs from satellite and in situ data derived during the First Workshop.





Figure 4. Schematic representation of the traceability of skin SST measurements taken by radiometers on ships.

the National Physical Laboratory (Theocharous and Fox, 2010). The lower part of the figure portrays the at-sea component of the exercise.

Figure 5 shows the part of the process of taking the matchups between the satellite-derived SSTs and SI-traceable measurements of skin SST made by ship-board radiometers and with non-SItraceable measurements of subsurface temperatures made by thermometers mounted on drifting buoys. The set of matchups with the ship radiometers provides traceability to SI-standards, and ideally this would be all that is required. However, the use of the SST CDR requires each measurement to be accompanied by an uncertainty budget and estimates of the characteristics of the uncertainties, such as the dependences of the uncertainties on environmental parameters. The number of ship-board radiometers is regrettably too small for an adequate sampling of the parameter space that influences the satellite-SST retrieval uncertainties, and thus the much larger data set of matchups with subsurface measurements from buoys has also to be used (Figure 3 and Figure 5). The process pivots on whether the uncertainty budgets derived from comparisons with SI-traceable and non-SI-traceable measurements are equivalent. If yes, it can be argued that the uncertainties derived from the much larger set of non-SI-traceable matchups can be used in the characterization of the SST CDR. If not, it implies that the satellite-derived SSTs have uncertainties that are not well characterized in either sets of matchups, and the algorithms used in deriving the satellite SSTs should be improved. The metrics for the equivalence in the characteristics of the two uncertainty budgets have to be determined taking into account the properties of the two data sets and the methods used to generate the matchups.



Figure 5. Schematic diagram of the process of generating SST CDRs from satellite SST retrievals using matchup-ups from SI-traceable skin SST measurements from ship radiometers, and non-SI-traceable subsurface temperatures from drifting buoys.

#### 4.6 Data Archive and distribution

An important aspect of adherence to the guidelines of the CEOS QA4EO is the provision of appropriate documentation of a satellite-derived CDR, and of ease of accessibility to the data set(s) comprising the CDR. In the case of a satellite-derived SST CDR, this also applies to the ship-based radiometer data that underpin the legitimacy of the CDR. The details of the contents

of the Data Base to hold these and associated measurements were further discussed, building on the conclusions reached during the First Workshop (Minnett and Corlett, 2012). The contents of a document to define the format of ship-board radiometer data were discussed. The lead author of this is Dr Tim Nightingale and when completed, the documents will be a joint ISSI and GHRSST report.

# 5 Research Areas

Several research areas requiring urgent attention were identified and discussed in the Breakout Groups, and in plenary.

5.1 Estimating Measurement Uncertainty in SST from Shipboard Radiometry

Optimal use of ship-based SST from improving the accuracy of satellite-based SST requires that an uncertainty associated with each shipboard radiometer measurement be reported. The overall measurement uncertainty for ship-based SST derived can be divided into uncertainties associated with the instrument and those associated with the environment, which includes the sea surface and its surroundings. In general, instrument uncertainty can be adequately determined by evaluation of the radiometer in the laboratory using calibration techniques that conform to standard practices (e.g. Rice et al., 2004). Environmental uncertainty is dominated by the dependence of sea surface emissivity and reflectivity on incidence angle and includes effects due to wind-induced surface roughness, wave slope, ship roll, and sky conditions. Over the past 25 years, a significant body of research parameterizing the dependence of emissivity and reflectivity on wind speed has been established (see Nalli et al., 2008 for a recent survey). Thus a potential approach to estimating the environmental uncertainty for individual ship-based measurements is to use such parameterizations with simultaneous measurements of environmental conditions. In order to assess the adequacy of this approach, research that examines and quantifies the separate and combined effects of the primary factors contributing to environmental uncertainty is required.

5.2 Validation of uncertainties

The goal is to define and implement a method for validating uncertainties.

The provision of pixel level uncertainties with satellite SSTs is a key requirement for the construction of a satellite SST CDR. One way of generating such uncertainties is to compare the satellite SSTs to a set of reference measurements from non-satellite instruments. Owing to significant changes in the type, accuracy and coverage of available reference measurements, an alternate approach is to develop an uncertainty model for the satellite SSTs from the comparisons to the reference measurements. An advantage of this latter approach is that a consistent set of uncertainties can then be provided to every pixel in the satellite SST data record (included those with no corresponding reference measurements); a consequence is the requirement to then validate the uncertainties (actually the uncertainty budget) as well as the SSTs themselves.

The approach taken to validate the uncertainties should determine whether the calculated uncertainties are generally of the correct magnitude, and whether the measurements with higher (or lower) reported uncertainty are, in fact, less (or more) certain than others - i.e. how much confidence is there in the uncertainties. It is possible to infer such knowledge from the statistics of discrepancies between the satellite and reference measurements if (1) the uncertainty

distributions of the reference measurements are well understood, and (2) the number of cases is large enough to stratify the data along various dimensions while ensuring the sample size is sufficient to provide a statistically significant estimates of standard deviation.

The quality of the uncertainties can be provided as a quality indicator for each uncertainty as well as maps of the *degree of equivalence* or *degree of confirmation*.

The issues to be addressed are:

- 1. How do we differentiate reference measurements?
- 2. Are the uncertainty distributions of the reference measurements well understood?
- 3. Are the uncertainties of comparing satellite and reference measurements understood?
- 4. How do we define a statistically significant sample?
- 5. How do we provide uncertainty confidence to users?

5.3 Extending the SST CDR before the deployments of ship-board radiometers

The overall approach is to work backwards from periods of traceability using overlap periods as the primary means of transferring traceability. Quantifying differences during an overlap period of two or more satellites provides a framework for propagation of absolute accuracy, and transfers uncertainty from more recent "known" period to previous "unknown" period lacking SI traceable in situ collocations. General questions to be addressed include:

- What is minimum period of overlap required?
- What duration/number of collocations are required to transfer accuracy?
- Is this met as we go back in time?

Constructing collocations of observations from the two satellites can be done in terms of brightness temperature (radiance) or SST.

The first option is to construct collocations of brightness temperature in situations of satellite overpasses in the overlap period. The advantages are:

- More physical: closer to what is measured by the satellite
- Independent of uncertainties associated with the retrieval process
- Can do with collocations close in time.

But the disadvantages are:

- Subject to differences in spectral response of different satellites
- Collocations constrained to higher latitude regions

Which give rise to the following questions:

- What are constraints on matchup criteria?
- Are specular/angular differences between satellites sufficiently small?
- Do collocations in limited regions capture all of the potential differences between the satellites?
- Are there differences in satellite instrument response over different portions of the orbit?

The second option is to construct collocations in SST space. This confers the following advantages:

• In principle, can be extend to broader geographic regions

• Differences in spectral response are already incorporated

But this has the disadvantages of being:

- Less fundamental
- Subject to differences in the SST retrievals

Whichever approach is used, the uncertainty budget/error statistics have to be complied.

Extending the results back over the lifetime of a satellite radiometer involves determining if and how the uncertainty characteristics change with time, and constructing uncertainty estimates as functions of time (as well as location or other identified dependencies). This can be achieved with two approaches.

The first is to incorporate available in situ SST measurements, which involves assuming that while individual in situ measurements are subject to significant uncertainties, in the mean the record is not biased. Comparing mean in situ and satellite SST measurements identifies any trend in differences. This is a proven method which captures direct variations in SST, but it is not independent: Any trends are tied to the in situ record, and there may be significant contributions that arise from comparisons between skin and subsurface SSTs.

The second approach is to deduce change in satellite characteristics from available sensor data, which would have the advantage of rendering any conclusion independent of the in situ record, but it is unclear that known characteristics capture all aspects of the degradation of the satellite radiometer, and neither is it clear that all relevant information is available. This gives rise to the question of the sufficiency of the onboard satellite parameters to capture and characterize drift in the satellite radiometer performance. The work being done by Jon Mittaz and Jack Xiong can be a good starting point.

The processes can be repeated in principle backwards in time through the overlap periods with earlier satellites as required.

An important research task is to conduct a feasibility study using data from a period where in situ data are available to validate, attempting to answer the multiple questions cited above.

# 6 Acronyms

Advanced Along-Track Scanning Radiometer
Along-Track Scanning Radiometer
Advanced Very High Resolution Radiometer
Climate Data Record
Committee on Earth Observation Satellites
Infrared Atmospheric Sounding Interferometer
Infrared Sea surface temperature Autonomous Radiometer
Joint Polar Satellite System
Marine-Atmospheric Emitted Radiance Interferometer
National Institute of Standards and Technology (USA)
National Oceanic and Atmospheric Administration
Quality Assurance Framework for Earth Observation
Système International d'Unités
Scanning Infrared Sea Surface Temperature Radiometer
Sea and Land Surface Temperature Radiometer
Suomi-National Polar-orbiting Partnership
(NIST) Thermal-infrared Transfer Radiometer
Visible Infrared Imager Radiometer Suite
(CEOS) Working Group on Calibration and Validation

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## 8 Appendices

#### 8.1 Meeting agenda

#### Monday, 1 October, 2012

#### Welcome

Local Arrangements Review Objectives of the Workshop Discuss & modify agenda

#### **Satellite radiometers**

New developments: Suomi-NPP VIIRS Instrument characteristics and SST Accuracies - Peter Minnett AMSR-2 on GCOM-W1 - Peter Minnett Chinese HY satellites and sensors - Lei Guan Consequences of loss of Envisat and AATSR; progress towards SLSTR - Gary Corlett New results of note - All

#### **Shipboard radiometers**

Update on past and future deployment plans. Radiometer calibration workshop – developments?

#### In situ measurements

Updates on results using drifting or moored buoys. New developments?

#### **Discussion of SST CDRs**

Uncertainty budgets and SI traceability - Theo Theocharous Improvements on the flow diagram developed at the First Workshop? How can the satellite-derived SST CDR be extended back before the deployments of ship-board radiometers? - Gary Wick How can satellite SST CDRs be merged with in situ SST time series? Ocean reference sites – is this concept one to follow for radiometric skin SST measurements? Alignment with QA4EO; involvement of CEOS

#### **Data Archiving and distribution**

Refine the user requirements for a data archive Discuss and agree upon the initial radiometer data format, including metadata for archival data -Tim Nightingale

#### Tuesday, 2 October, 2012

Definition of Breakout Groups – Ship-board radiometry, in situ measurements and (other suggestions?) ....

Each group to consider, amongst other things:

- Minimum and optimal accuracy requirements and how these can be achieved and demonstrated
- Revision of contents of "Best Practices Handbooks" for measurements to be used to validate satellite-derived SSTs

Discuss research areas that need urgent attention.

#### Wednesday, 3 October, 2012

Updates in Sentinel-3 and SST\_cci - Craig Donlon Reports of Breakout Groups Breakout sessions

#### Thursday, 4 October, 2012

Reports of Breakout Groups Breakout sessions Reports of Breakout Groups

#### Friday, 5 October, 2012

#### **Future plans**

Identify problems to be addressed, gaps to be filled Requirements of future calibration workshops Opportunities for coordinated ship radiometer deployments Outline of peer-reviewed publications arising from this ISSI Study Project Dates for next ISSI Workshop

Adjourn 12:30

#### 8.2 Presentations

This section provides the slides used during the workshop. They are presented on six per page, to be read vertically.

The sequence of presentations is:	
Goals and Outcomes	22
Requirements of a Climate Data Record	24
VIIR SST Status	27
Updates from EUMETSAT	30
EUMETSAT EPS/Metop and MSG	31
Bridging the ENVISAT Gap	34
Update on ship-based radiometer deployments	36
The treatment of uncertainties in SST measurements using radiation thermometers for the validation of satellite SST measurements	37





## The goals of the ISSI Study Projectas proposed

- Review of the results of the three Miami infrared workshops and lay the groundwork for the next series of workshops to be held in the USA or Europe.
- Review the current "state of the art" of satellite SST retrieval uncertainties, and identify the contributions to the satellite-derived uncertainty budget from the validating radiometers, and from the method of validation.
   Revisit the specifications for future SST validation radiometers.
- Establish and publish a Best Practices Handbook for validation of satellite-derived SSTs.
- Ensure the steps to establishing SST CDRs are rigorous and well-understood by those involved in this activity.
- Make longer term, coordinated plans to validate new satellite radiometers -VIIRS on NPP and JPSS, and SLSTR on Sentinel-3.
- Coordinate the validation of the satellite-derived SSTs within the framework of the CEOS QA4EO. 8. Examine the initial validation results of the VIIRS on NPP.
- 9. Finalize publications arising from the Study Projects.

SPACE SCIENCE

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ISSI workshon. October 1-5, 2012



### Outcomes

- A handbook of Best Practices
- · Web pages for conveying results and progress
- · Papers submitted to the peer-reviewed literature - potential titles are:
  - "Demonstrating traceability to SI in deriving climate data records: An example using sea-surface temperature"
  - "Accuracy of satellite-derived sea-surface temperatures derived from multi-decadal time series from multiple satellite sensors"

ISSI workshop. October 1-5, 2012



# Goal 4 Establish and publish a Best Practices Handbook for validation of satellite-derived SSTs. Contents assessed during last meeting and first draft distributed; focus of this meeting

# Goal 7 Coordinate the validation of the satellite-derived SSTs within the framework of the CEOS QA4EO. • Outcome of these workshops

Goal 8

Examine the initial validation results of the

Goal 5

Ensure the steps to establishing SST CDRs are rigorous and well-understood by those involved in this activity.

To be determined through this series of meetings. Several approaches may be feasible, desirable, or simply necessary. Assess uncertainty budgets of radiometer-derived SST CDR route.

ISSI workshon. October 1-5, 2012

SPACE SCIENCE



VIIRS on NPP.





























# Focus point

The crux of the issue is how to decide whether uncertainty characteristics derived from matchups with buoys (or other sources) are equivalent to those from ship-radiometers.

If yes, then:

- Generation of CDRs can include much larger set of drifting buoy matchups.
- CDRs can be extended back beyond era of ship-board radiometry AVHRR Pathfinder.

ISSI workshop. March 26-30, 2012











IDPS SST2b night           median         -0.292         -0.543           sd         0.601         0.702           mad         0.414         0.346           count         50561         2404           IDPS sst3b night           median         -0.156         -0.363           sd         0.531         0.590           mad         0.282         0.234           count         50561		v5.3 iQuam buoys	V5.3 GHRSST buoys
median         -0.292         -0.543           sd         0.601         0.702           mad         0.414         0.346           count         50561         2404           IDPS sst3b night           median         -0.156         -0.363           sd         0.531         0.590           mad         0.282         0.234           count         50561         2404		IDPS SST2b night	
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Month	<40S	40S to	20S to	Eq to	20N to	>40N
		20S	Eq	20N	40N	
4	18	23	99	3	17	171
5	29	46	112	6	21	144
6	41	30	101	0	28	113
7	51	38	100	1	38	164
8	36	46	81	9	61	284
9	5	4	10	3	8	52











Status of GCOM-W1 an	d AMSR2
<ul> <li>2012.5.18 GCOM-W1 (SHIZUKU) was launched</li> <li>2012.6.29 Join A-Train orbit</li> <li>2012.7.03 Start AMSR2 observation from A-Train orbit</li> <li>2012.7.04 Release of AMSR2 observation images</li> <li>2012.8.10 Initial functional verification completed</li> <li>2012.8.31</li> <li>Preliminary L1 delivery to PI and related agencies</li> </ul>	-
<ul> <li>2012.3.30 Preliminary L2 delivery to PI and related agencies</li> <li>2013.1 L1 public release</li> <li>2013.5 L2 public release</li> </ul>	
<ul> <li>AMSR2 standard products will be distributed through GCOM-W1 Data Providing Service (https://gcom-w1_jaxa.jp/) by http &amp; sftp, along with AMSR and AMSR-E products.</li> </ul>	









































Examples of EUMETSAT/OSI-SAF work related to and Metop	MSG
March 2012: OSI-SAF updated GEO (SEVIRI) chain     Including use of NWP-based bias corrections	
<ul> <li>~2013: IASI SST New cloud detection; Investigating use of artificial neural networks in the retrieval (expanding use to band 3 at nigh L1/2 work remains at EUM central facilities; OSI-SAF disseminate and validate full IASI L2P</li> </ul>	Ŋ;
353: 14 CE EUN	IETSAT





#### Preferred option for bridging sensors

Combination of:

Metop-A AVHRR and IASI

#### and Metop-B AVHRR and IASI

- 0930/2130 h is close to SST\_CCI reference local time
- Long overlap of Metop-A AVHRR and AATSR
- Expect Metop-A AVHRR to continue to 2016 (launch of Metop-C)
- Stability of Metop-A AVHRR can be assessed relative to
   AATSR for 6 years
  - Metop-A IASI on board
     Metop-B AVHRR and Metop-B IASI
- Retains independence from in situ
- Retains independent validation and traceability to SI









#### Note on SST-skin traceability Ship-borne radiometry is the principal link of SST-skin to SI standards What is necessary to demonstrate the stability of this link across the gap? Consistent, repeat radiometry lines, as for AATSR Requires funding to support continuity of AATSR radiometers Need these also for SLSTR MUST be the same sampling regime/lines for long term tabaratty of Leicester Space

# **Step 2: Stability measures**

- Stability is the degree to which there is no trend artefact in the SST time series
- Cannot assume 5 mK/yr from METOP-A AVHRR
- Use MMS to find stability against AATSR for 2006 2012
- Can do three independent inter-sensor stability assessments during the gap
  - METOP-A AVHRR vs METOP-A IASI
  - METOP-A AVHRR vs METOP-B IASI
  - METOP-A AVHRR vs METOP-B AVHRR
- Stability of ensemble likely to be better than stability of individuals Potentially the ensemble defines the reference between AATSR and SLSTR
- Need to extend MMS to include IASI (in Phase 2)





#### **Step 3: Product assessment** Product validation, inter-comparison and climate assessment approaches defined in SST\_CCI PVP AATSR / METOP-A AVHRR residual bias patterns can be mapped against drifting buoys, Argo and each other Similarly for METOP-A AVHRR / SLSTR Stability for bridge can be independently validated against GTMBA Using time-adjusted SST-1m Constant and the office esa-sst-cci.org

### Conclusion

www.esa-sst-cci.org

- We have a feasible plan for ensuring AATSR to SLSTR bridge maintaining independence with stability for 37 years+ record
- Use multi-sensor match-up techniques prototyped within the SST\_CCI project to exploit the Metop AVHRR & IASI ensemble
- This approach is accounted for in planning for next phase (SRD)





































# QA4EO requires that there should be an uncertainty budget developed for each of these three calibration steps • If the calibration chain incorporated more steps, then each of these steps should also have its own uncertainty budget.

- Also, the combined uncertainty derived from the uncertainty budget resulting from step (i) will appear as a component uncertainty in the uncertainty budget related to step (ii). The combined uncertainty derived from the uncertainty budget resulting from step (ii) will appear as a component uncertainty in the uncertainty budget related to step (iii) etc.
- Note that the uncertainty budget for each of these three calibration steps may have to be broken further into smaller steps (uncertainty budgets) depending on how the actual measurements are conducted.

NPLO









#### Impossible!

- The participant ignored the calibrated values shown by the certificate.
- The participant quoted a combined uncertainty of a measurement using his radiometer as 0.07 °C.

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- However, the calibration certificate shows that the uncertainty in the blackbody calibration was an order of magnitude (0.6 °C).
- Not quite possible!



Parameter	Type A Uncertainty in Value / %	Type B Uncertainty in Value / (appropriate units)	Uncertainty in Brightness temperature K
Repeatability of measurement <sup>(1)</sup>	0.009K / 0.003%		0.009
Reproducibility of measurement <sup>(2)</sup>	0.03K / 0.010%		0.03
inearity of radiometer <sup>(3)</sup>		0.02 K	0.02
Primary calibration <sup>(4)</sup>		0.06 K	0.06
Drift since calibration		-	-
RMS total	0.03K / 0.011%	0.06 K	0.07













Systematic standard uncertainties when AMBER is used to measure the radiance temperature of a test blackbody in the 10 °C to 40 °C temperature range by comparison to a gallium fixed-point blackbody.					
Contribution	Standard Uncertainty / mK	Comment			
Uncertainty in the Ga blackbody radiance temperature	32	Taken from Ga blackbody uncertainty budget (see Table 3)			
Uncertainty due to the lock-in amplifier non- linearity (Theocharous, 2008)	36	$0.1\%$ non-linearity in the lock-in amplifier (maximum in the -50 $^{\circ}\mathrm{C}$ to 30 $^{\circ}\mathrm{C}$ temperature range). Depends on the difference between the Ga melting point temperature and the temperature of the target being measured.			
Uncertainty in the relative spectral responsivity calibration of 10.1 $\mu m$ filter radiometer	6	From the calibration of the relative spectral responsivity of the 10.1 $\mu m$ filter radiometer			
Uncertainty due to the definition of the "radiometric zero"	4	From monitoring the AMBER output when the 77 K blackbody is being viewed			
Uncertainty in the measurement of the ZnSe AMBER window transmission	1	Common to all blackbody measurements, hence the uncertainty due to this window is small.			
Uncertainty in the measurement of the ZnSe AMBER lens transmission	1	Common to all blackbody measurements, hence the uncertainty due to this window is small.			
AMBER stability/drift over the period of a measurement	18	based on 0.05% drift over a measurement period i.e. 5 minutes			
Uncertainty due to ambient temperature fluctuations	12	See reference (Theocharous and Theocharous, 2006)			
Uncertainty due to chopper frequency fluctuations	2	Based on a 0.2 Hz drift in the chopper frequency during a measurement cycle.			
Combined uncertainty (k=1)	53 mK				

#### The steps required for the development of an uncertainty budget

- Determine the mathematical relationship between input and output quantities (if it exists).
- List the parameters which can potentially affect the measurement. These are potential sources of uncertainty!
- Assign uncertainty values and probability distributions to the parameters identified.
- Convert to Standard Uncertainty for each parameter.
- Determine Sensitivity Coefficients (partial derivatives, if a functional relationship exists) for each parameter. If a functional relationship does not exists, then change variable by small amount, while keeping the others the same and noting the change in output.

Sensitivity coefficients – the options					
Differential	Numerical	Experimental Trial			
Calculus	Trial and Error	and Error			
Simple relationships	Complex expressions	Unknown relationship			

# The steps required for the development of an uncertainty budget (cont.)

- Are the different uncertainties correlated? If yes, then determine correlation coefficients.
- Combine uncertainty contributions using standard techniques to determined the **combined standard uncertainty**.
- Determine Degree of Freedom, Coverage Factor and Expanded Uncertainty.



$$y = f(x_1, x_2, x_3, \dots)$$

$$c_i = \frac{\partial y}{\partial x_i}$$

$$U_c(y) = \sqrt{c_1^2 * U^2(x_1) + c_2^2 * U^2(x_2) + c_3^2 * U^2(x_3) \dots}$$



#### **Temperature Coefficient of Response**

- It is defined as the percentage change in the responsivity of the instrument, resulting from an increase of the ambient temperature of 1 °C.
- It is calculated by measuring the output of the radiation thermometer while it is sequentially maintained at a number of temperatures around ambient. Figure 1 shows the output of a radiometer located in an

enclosure, as the temperature of the enclosure was increased

every 20 minutes in steps of 2 °C, from 20 °C to 30 °C.



#### Other sources of uncertainty

- Ambient Humidity fluctuations: Treatment similar to temperature coefficient of response.
- Linearity of response: Null measurement aids linearity.
- "Dark" or "zero reading" measurements: Only an issue for SST very different from the temperature of the internal blackbodies.
- Out-of-band response: Only an issue for SSTs very different from the temperature of the internal blackbodies.
- **Stability/Ageing:** Drift of internal blackbodies between calibrations? Degradation of components?
- · Polarisation: Characterise response to polarised light.

Repeatability, Type A uncertainty

Temporal Response

#### How to deal with the temperature coefficient of response

- From the slope of the plot of the radiometer output at different ambient/enclosure temperatures, the temperature coefficient of response of the radiometer can be estimated (+0.29% °C<sup>-1</sup>).
- The ambient temperature should be recorded during the entire period during which a set of measurements is acquired using this radiometer.
- The maximum deviation of the ambient temperature during that period should be calculated (say 2 °C).
- The maximum percent fluctuation on the radiometer output during the monitoring period (2 °C at 0.29% per °C means a maximum deviation of 0.58%) is estimated.
- This is treated as an uncertainty contribution with a rectangular profile which is equivalent to a standard uncertainty contribution equal to 0.58% divided by the square root of 3.
- This uncertainty contribution is added to the other uncertainty components to arrive at the combined uncertainty of the measurement completed with that radiometer.

#### Other sources of uncertainty (continued)

- Out-of-field stray light: Important when bright sources (e.g. the sun) are near the FoV of the radiometer.
- Uncertainty in the viewing angle; the water emissivity is a function of the "angle of incidence". The observation angle of the radiation thermometer will depend on the tilting of the ship. The level of tilting of the ship should be recorded and the corresponding change in the observation angle should be estimated. The corresponding change in the water emissivity (due to changes in the observation angle) should then be calculated, from which the corresponding uncertainty in the SST can be calculated.
- Uncertainty contribution due to the water emissivity.
- Uncertainty contribution due to the "state of the sea surface".
- Uncertainty contribution due to the "wind speed".

#### Temperature Coefficient of Response (cont.)

- One way of reducing the uncertainty contribution due to the temperature coefficient of response of the radiation thermometer is to actively stabilise the temperature around the instrument.
- However, this may not be practically feasible due to the extra power requirements which will be necessary. At least stabilise the most sensitive components of the instrument. The DLATGS used in SISTER changes by 2.5% per °C.
- Another method would be to reduce the period of data acquisition to ensure that the drift in the ambient temperature during that period is minimised

#### Other sources of uncertainty (continued)

- Uncertainty contribution due to the measurement of the sky radiance.
- Uncertainty contribution due to the delay between readings of the SST, the internal blackbody and the sky radiance temperature.
- Uncertainty contribution due to relative spectral responsivity of the radiation thermometer response (partly covered by out of band response)
- Uncertainty contribution due to the "Size of Source" effect
- Responsivity

Combining the uncertainties when  
contributions are correlated  
$$u^{2}(y) = \sum_{i=1}^{N} c_{i}^{2} u^{2}(x_{i}) + 2 \sum_{i=1}^{N-1} \sum_{j=i+1}^{N} c_{i} c_{j} u(x_{i}) u(x_{j}) r(x_{i}, x_{j})$$



