

The treatment of the energetic particles in chemistry-climate models

Eugene Rozanov

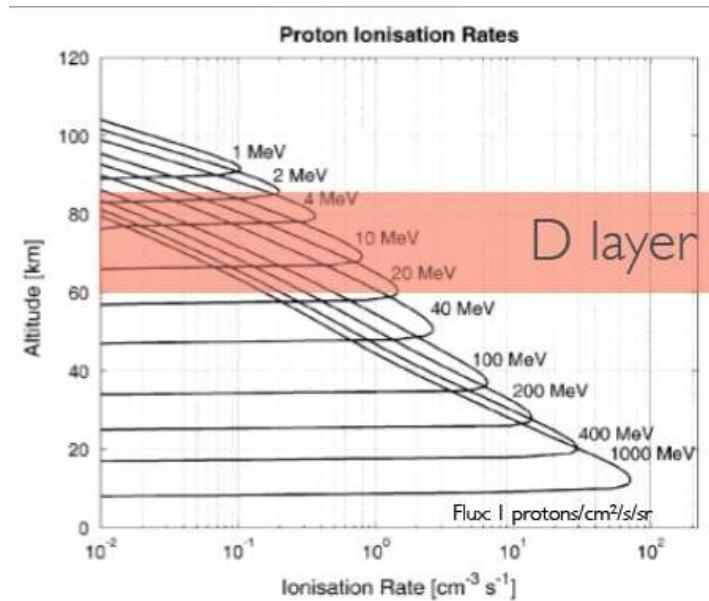
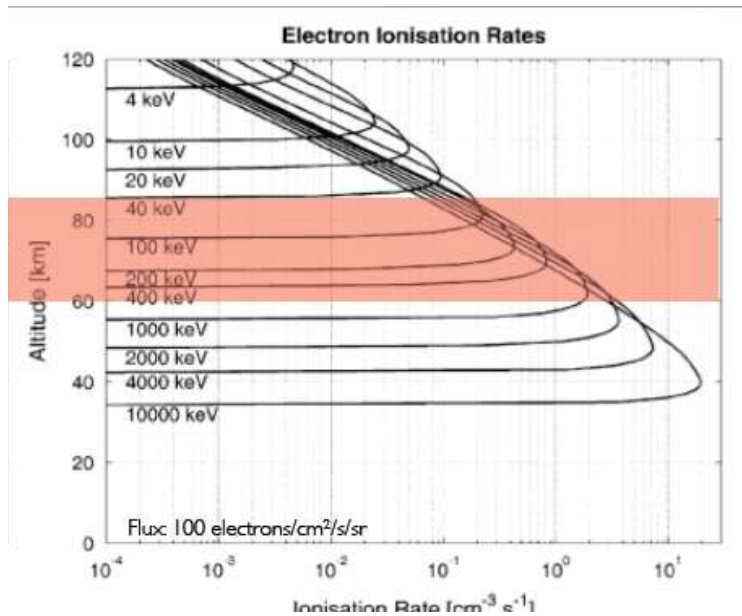
PMOD/WRC, Davos and IAC ETH, Zurich, Switzerland

e.rozanov@pmodwrc.ch

Particle classification

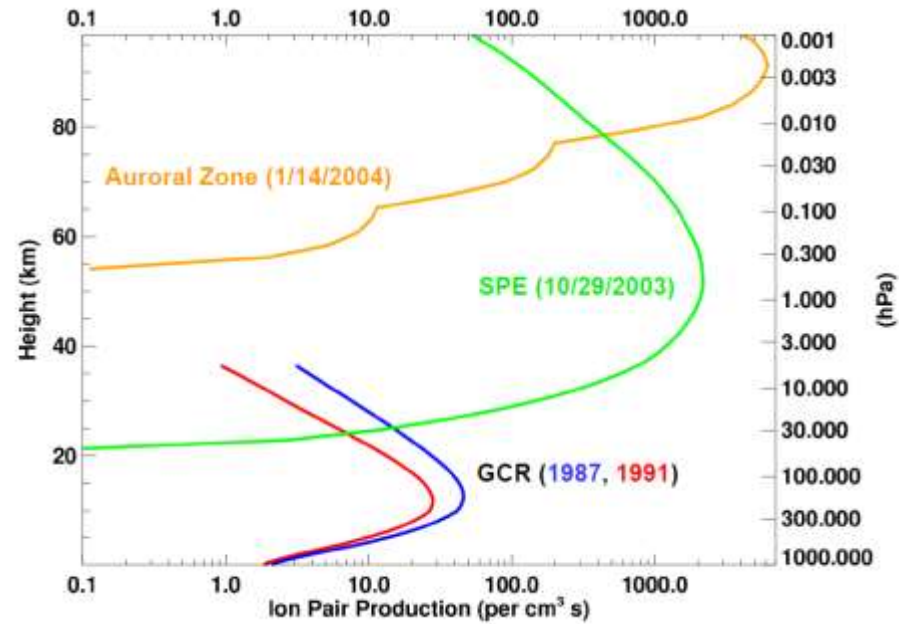
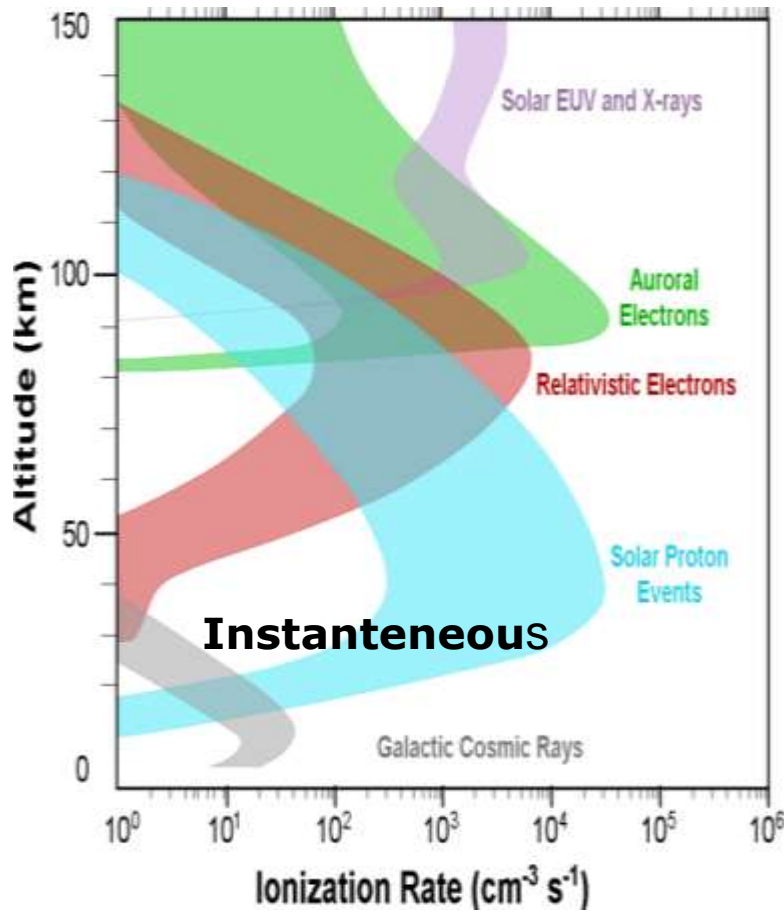
- Cosmic Rays
- Solar energetic particles (protons) up to 500 MeV
- Radiation belt electrons (~30 keV – several MeV)
- Discrete and diffuse auroral electrons (~ 0.1 – 30 keV)

Particle classification



Turunen et al., 2009


Types of precipitating energetic particles based on energy deposition altitude



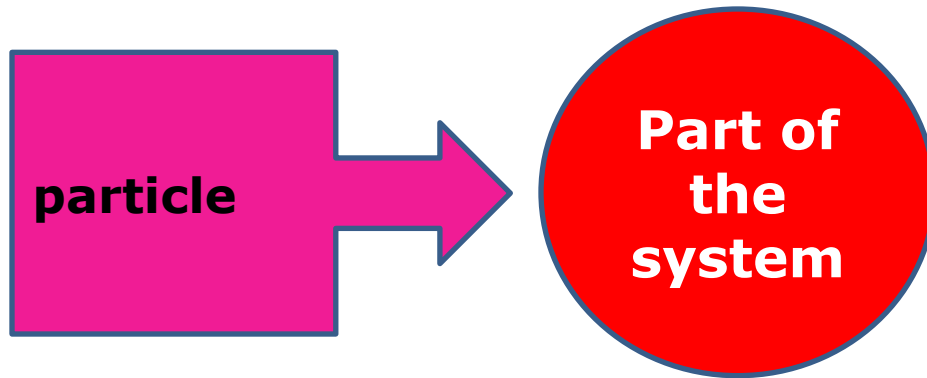
Semeniuk et al.,
2011

Courtesy of Ch. Jackman

The effects of energetic particles (**chemical route!**)

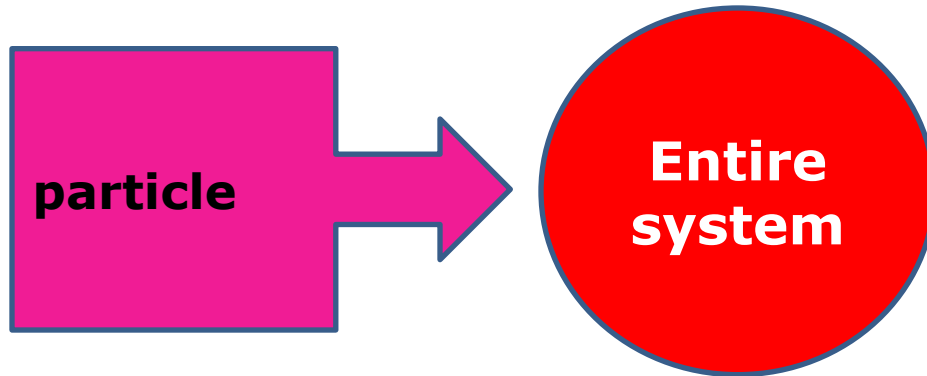
- **Primary effects**
 - **Direct effects via chemistry**
 - **Indirect effects via:**
 - transport**
 - chemistry**
 - temperature**
 - circulation**
- 
- Climate**
(temperature,
presipitation,
ozone, ..)

Direct response



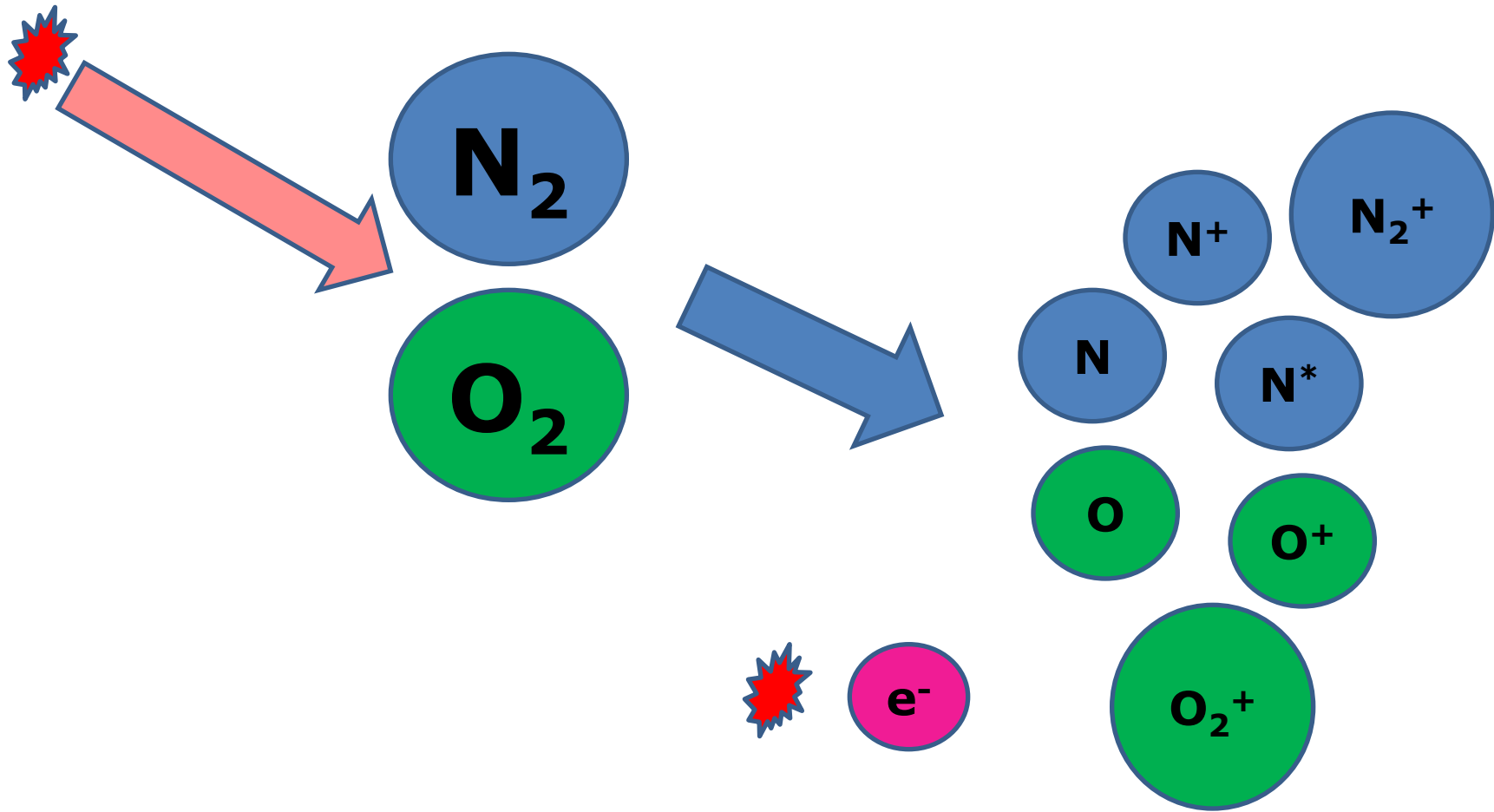
Short time scales
High signal/noise
Useful for model
validation

Indirect response

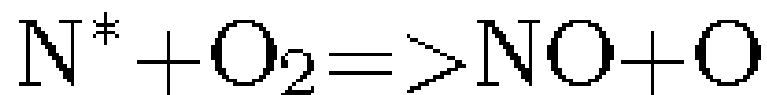
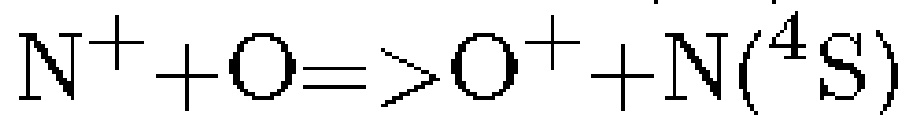
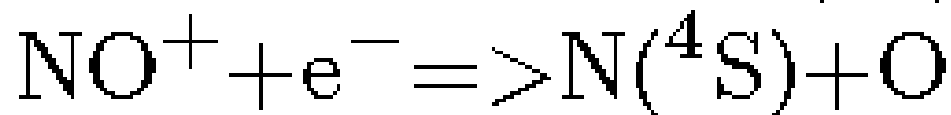
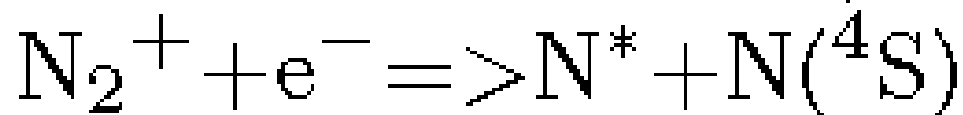


Long time scales
Lower signal/noise
Difficult to analyze
Important for the outcome

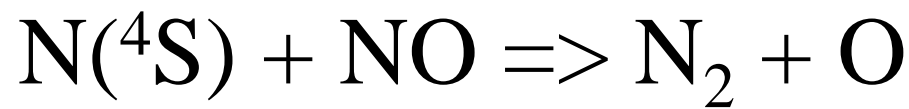
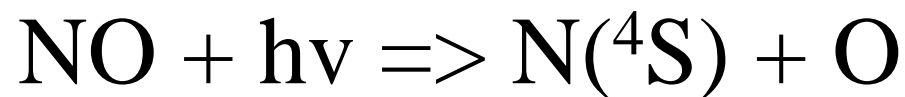
Primary effects of energetic particles



NO_x production/destruction



But

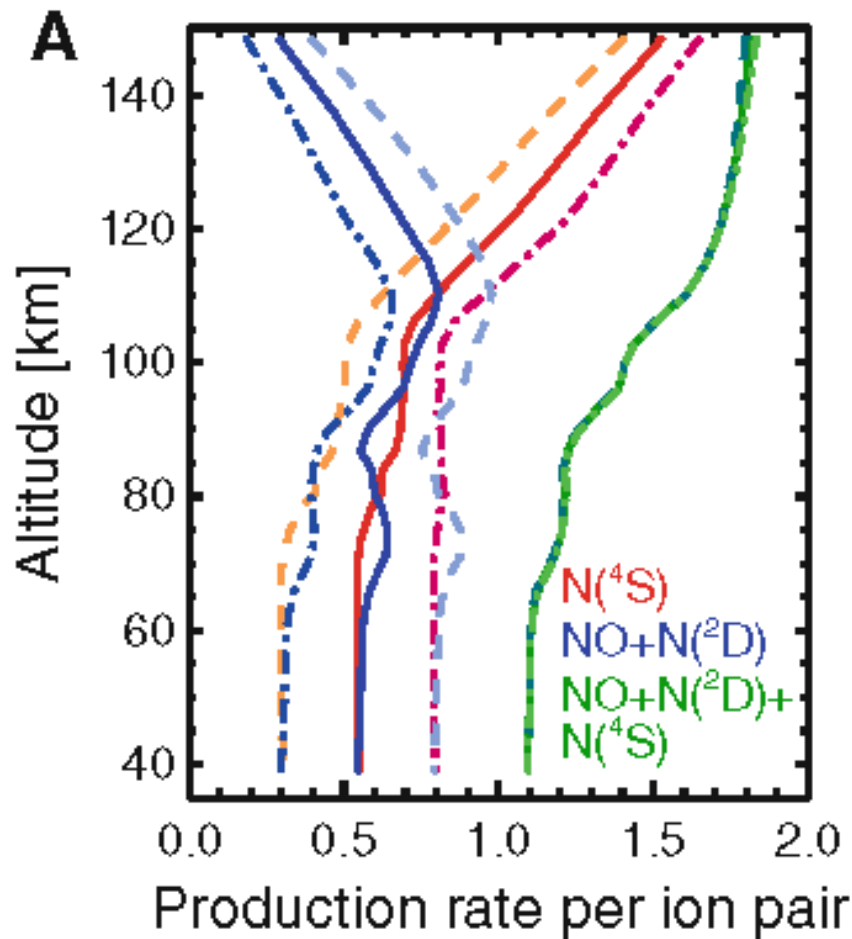


N(^4S)/N* ?!

NO_x production

Widely used parameterization:

Production by SP and RBE is $0.7 N^*$ and $0.55 N(^4S)$ per Ion Pair

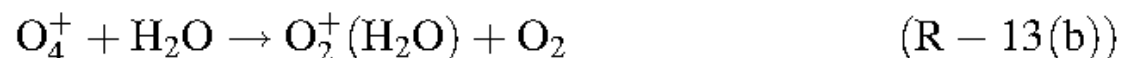


$N(^4S)/N^* ?!$

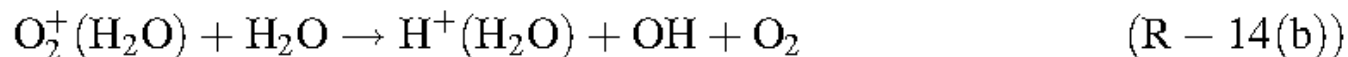
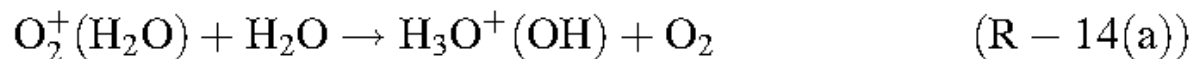
From Sinnhuber, 2012
BIC model

HO_x production by energetic particles

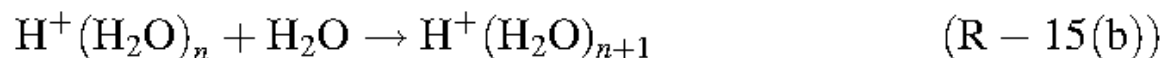
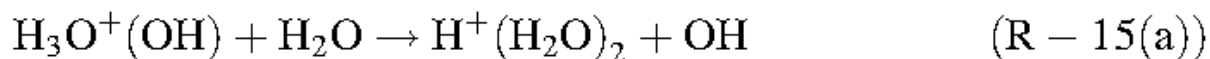
Ionization by particles below 90 km leads to the enhancement of HO_x via



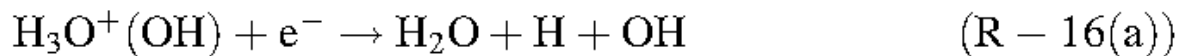
Larger cluster ions can then be formed by reaction pathways like:



Those can then be followed by the formation of larger protonised water cluster ions, like



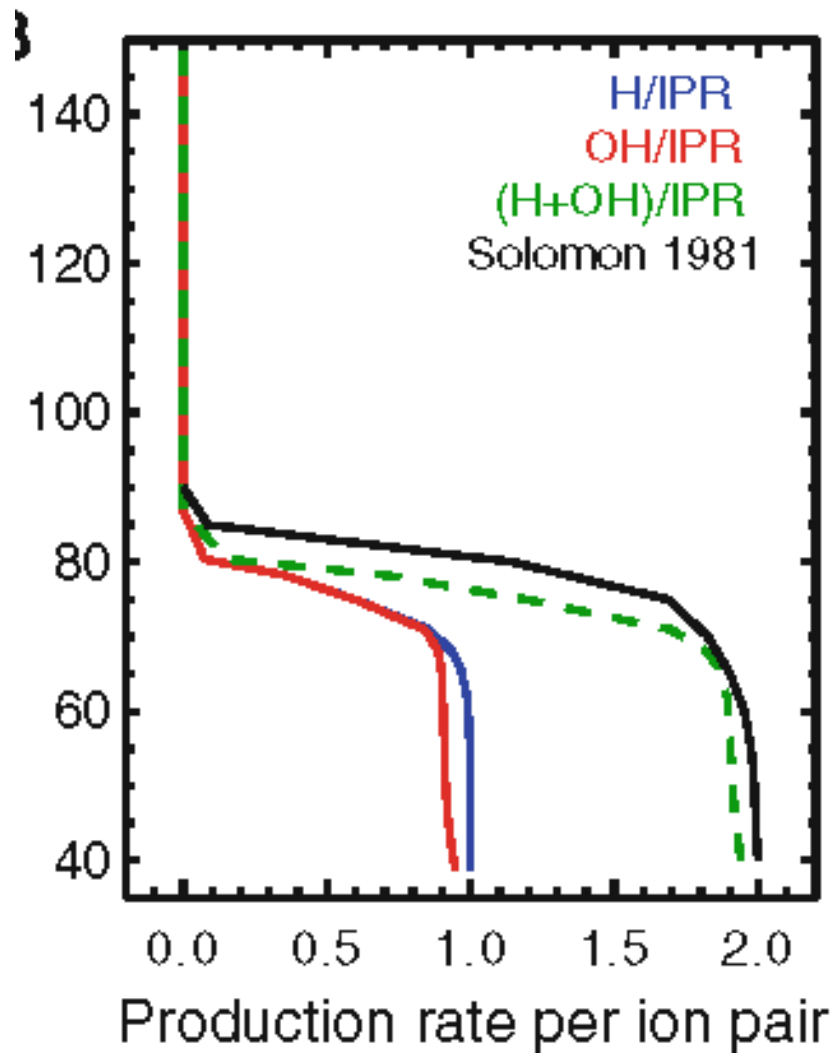
During all these reaction chains, recombination reactions with electrons can take place:



HOx production

Widely used parameterization:

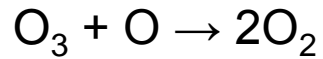
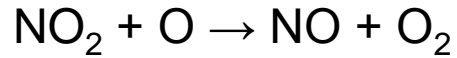
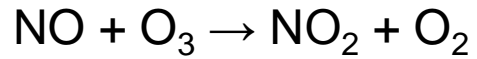
Production by SP and RBE is up to 2 HOx per Ion Pair



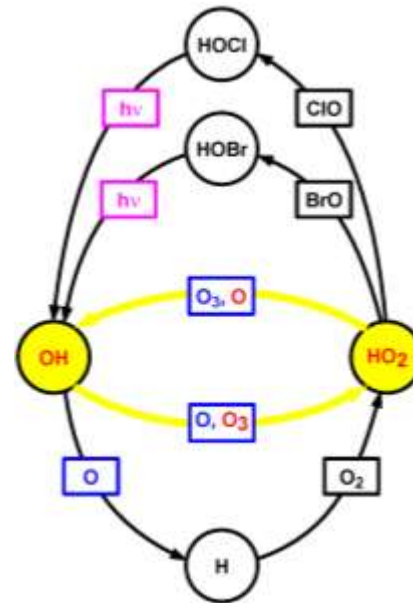
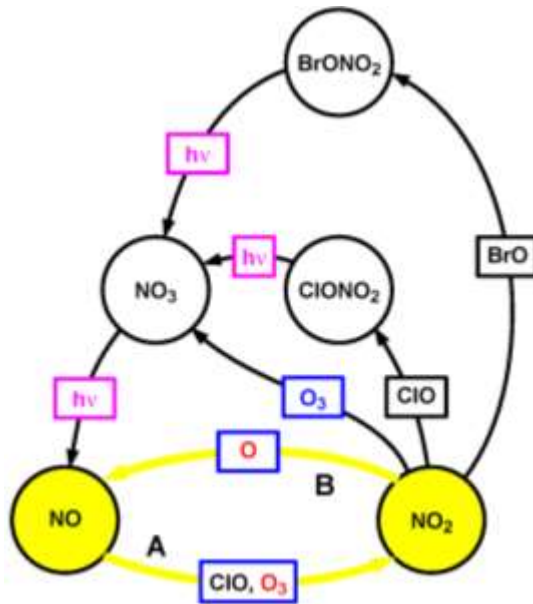
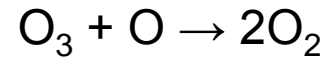
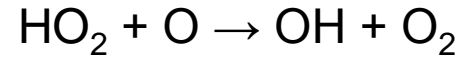
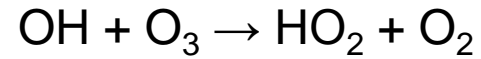
From Sinnhuber, 2012
BIC model

Ozone depletion by NO_x and HO_x

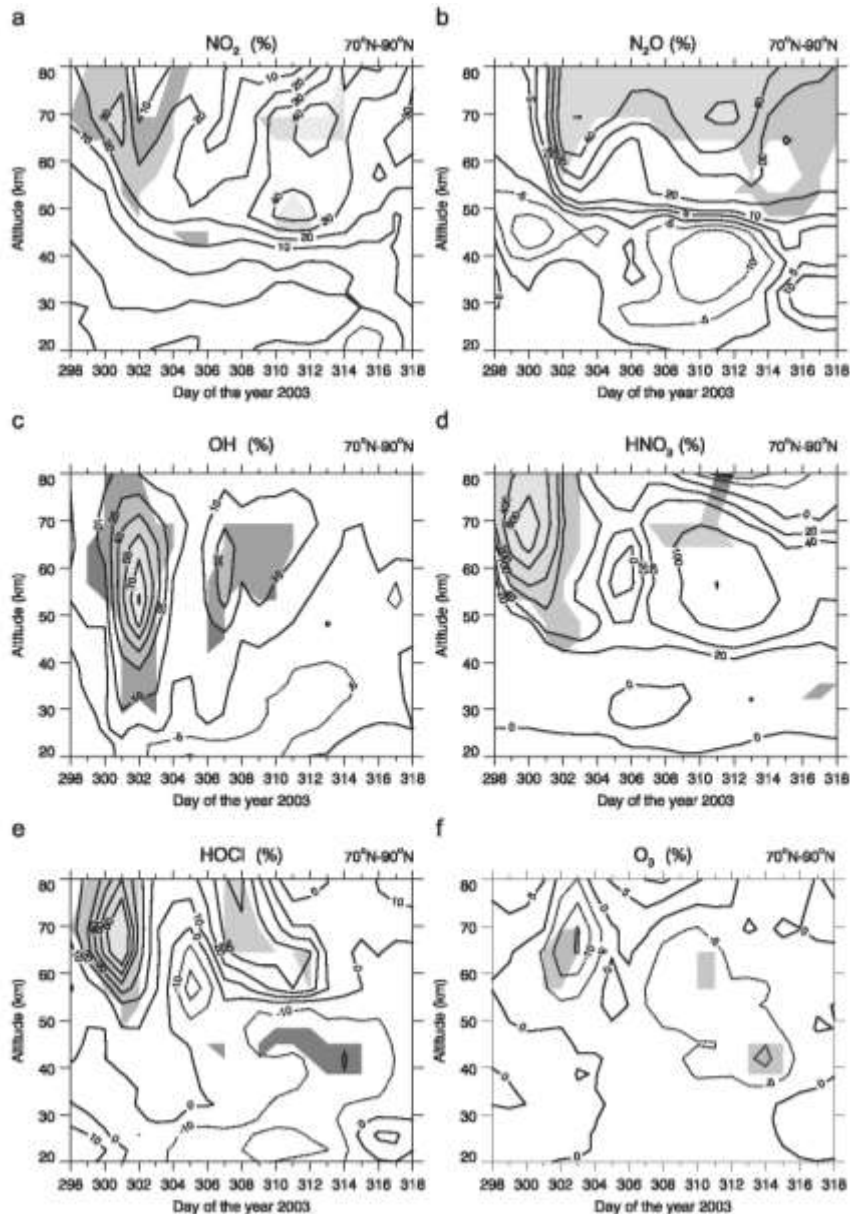
Nitrogen:



Hydrogen:



NOx and HOx production



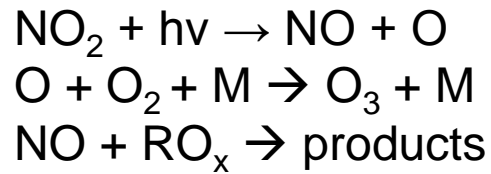
e-altitude distribution of the difference (%) between experiments with complete and parameterized ion chemistry after October 2003 SFE for and HNO_3 (d), HOCl (e) and O_3 (f). The shades of gray show where the signal is statistically significant at more than 95% confidence level.

Widely used
parameterization
($0.7 \text{ N}^* + 0.55 \text{ N}^{(4\text{S})}$) + up
to 2 HOx per Ion Pair)

against

complete ion chemistry
CCM SOCOL (Egorova
et al., 2011)

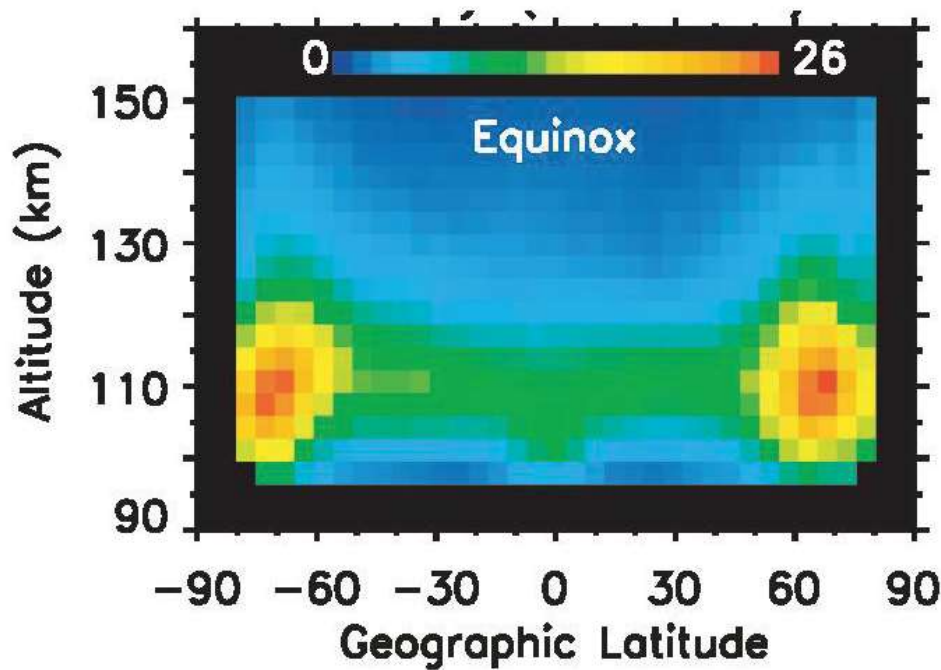
Ozone enhancement by NO_x



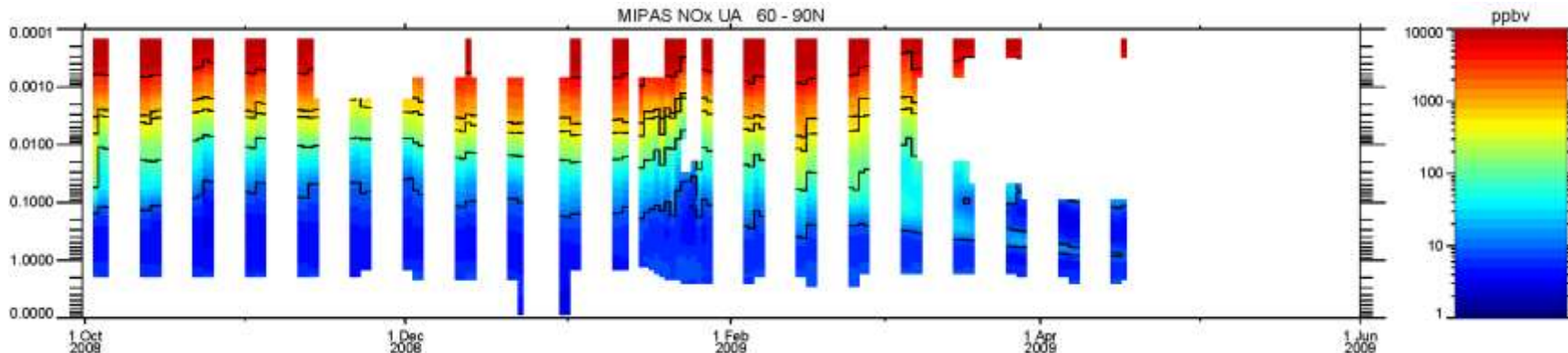
Works in the troposphere where NO_x are available and NO_x are limited.

Relevant to GCR

Observations of the auroral electrons direct effects



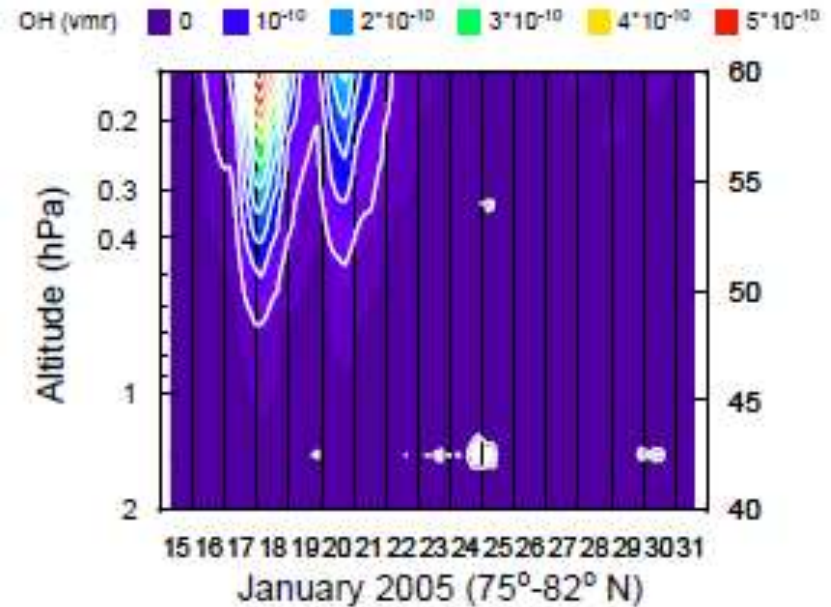
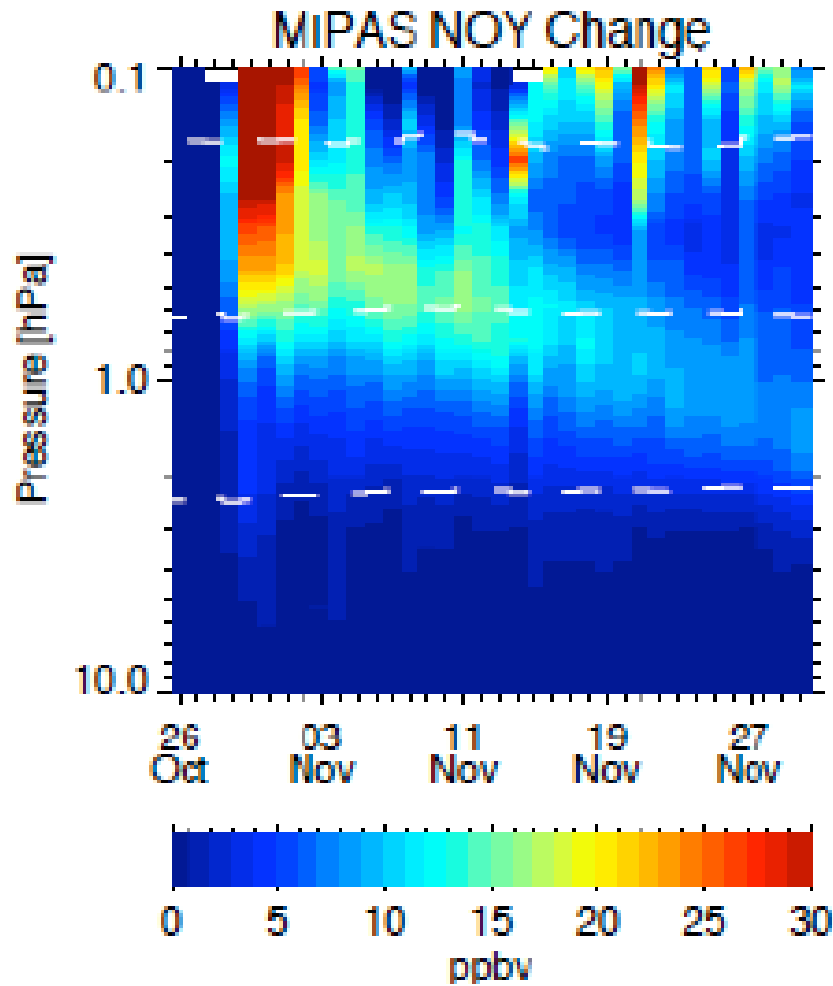
NO (10^7 cm^{-3}) measured by SNOE instrument. From Barth et al. (2003).



NO_x (ppbv) from MIPAS. HEPPA-2, Courtesy of B. Funke

Observations of the solar protons direct effects

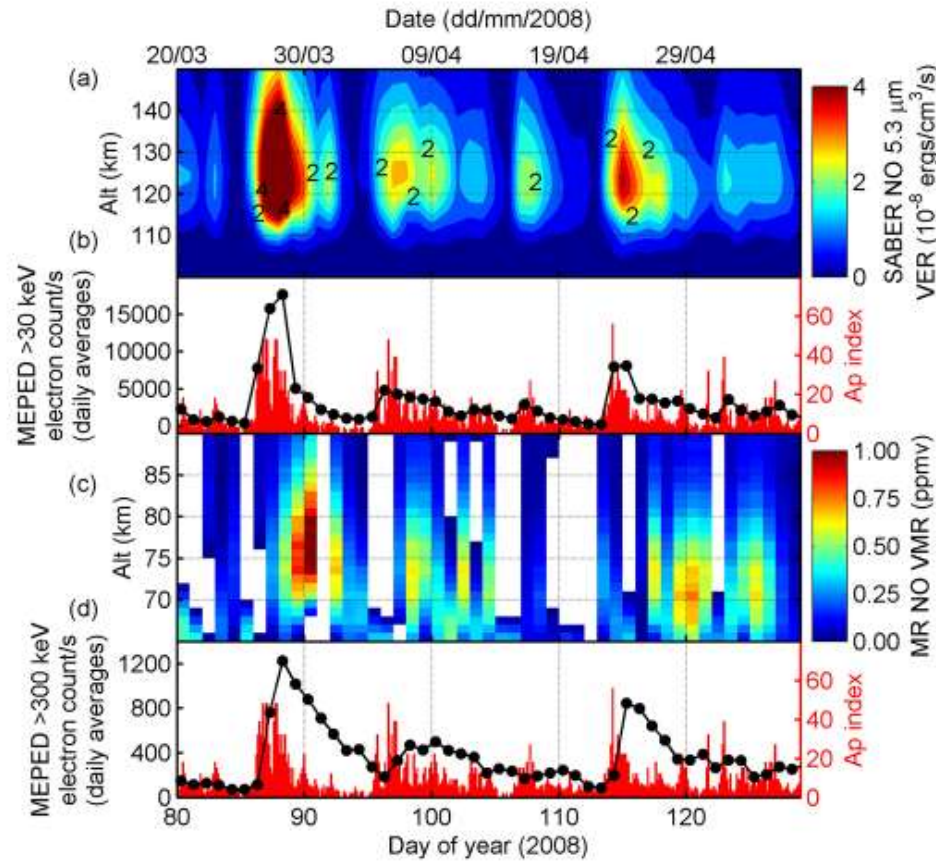
ISSI team meeting, Bern, 25 March, 2014



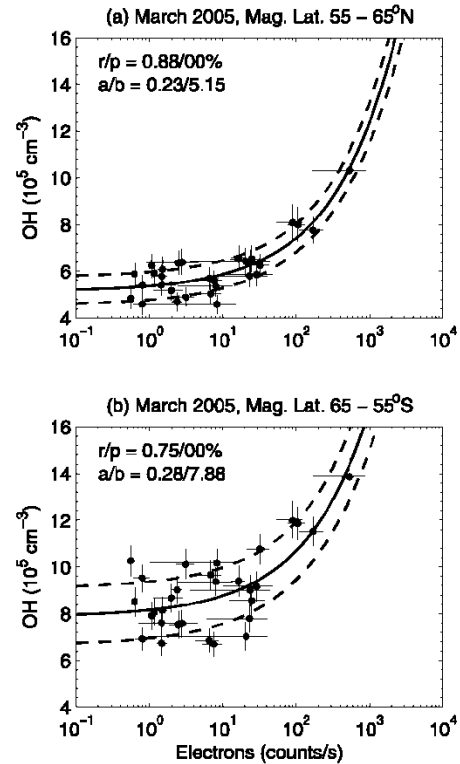
From Damiani et al., 2008
January 2005 SPE

From Funke et al., 2011
October 2003 SPE

Observations of the RBE direct effects



From Newnham et al., 2011



From Verronen et al., 2011

Treatment of the direct EP effects in CCMs (**WACCM**/**HAMMONIA**)

- Model top at **130/250** km
- Simplified ion chemistry, e^- and major ions (N_2^+ , N^+ , O_2^+ and O^+) \Rightarrow works only above 90 km
- Ionization rates are based on **Kp index/AIMOS**
- Production by SP and RBE is $0.7 N^*$, $0.55 N(^4S)$ and $2xHO_x$ per ion pair; valid below mesopause

Treatment of the direct EP effects in CCMs (**CMAM**)

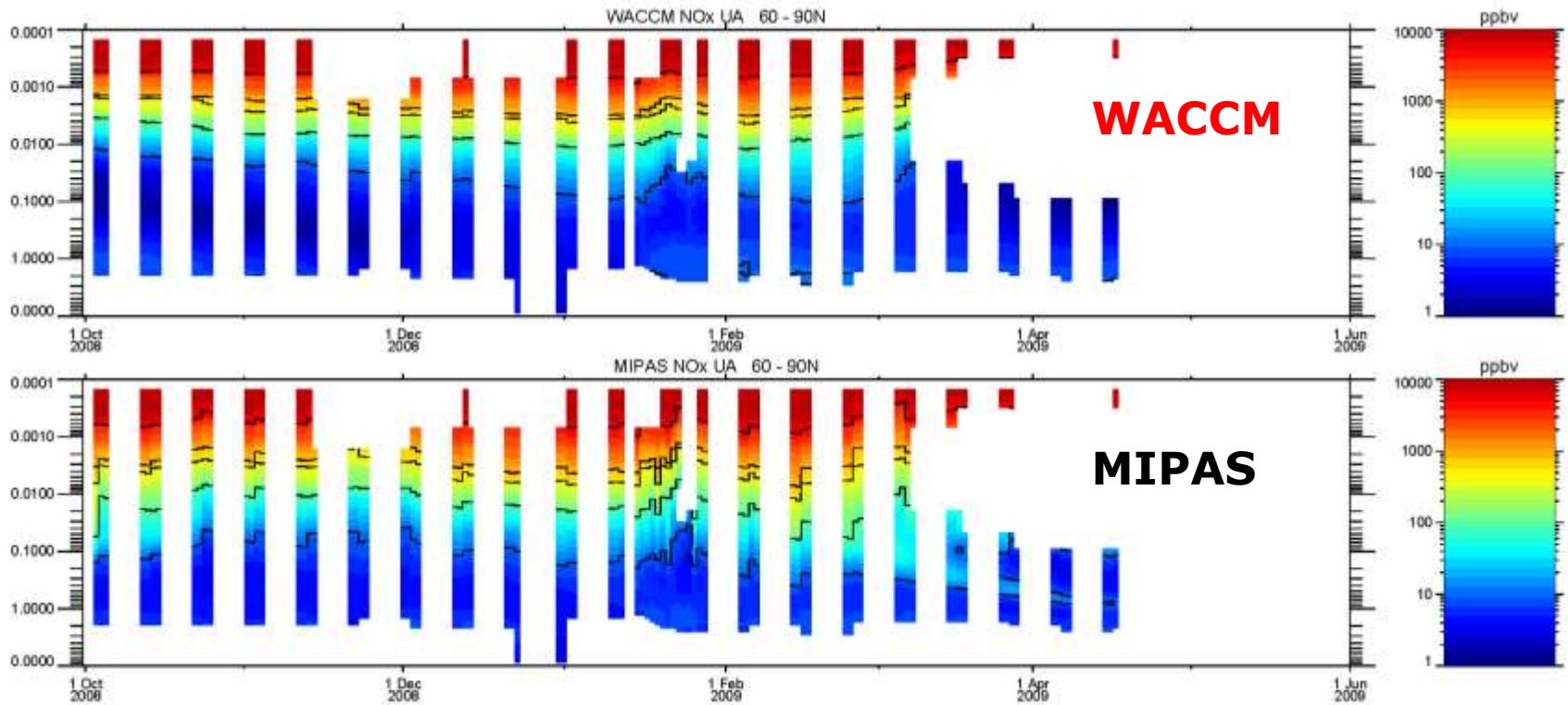
- Model top at **90** km
- Production by SP and RBE is $0.7 N^*$, $0.55 N(^4S)$ and $2xHO_x$ per ion pair; valid below mesopause
- Ionization rates from electrons (>30 KeV) are based on satellite data and energy deposition code
- No thermospheric NO_x production by auroral electrons (< 30 KeV)

Treatment of the direct EP effects in CCMs (**EMAC, SOCOL**)

- Model top at **80** km
- Production by SP and RBE is $0.7 N^*$, $0.55 N(^4S)$ and $2xHO_x$ per ion pair; valid below mesopause
- Ionization by electrons (> 30 KeV) is absent

Modeling of the auroral electrons direct effects

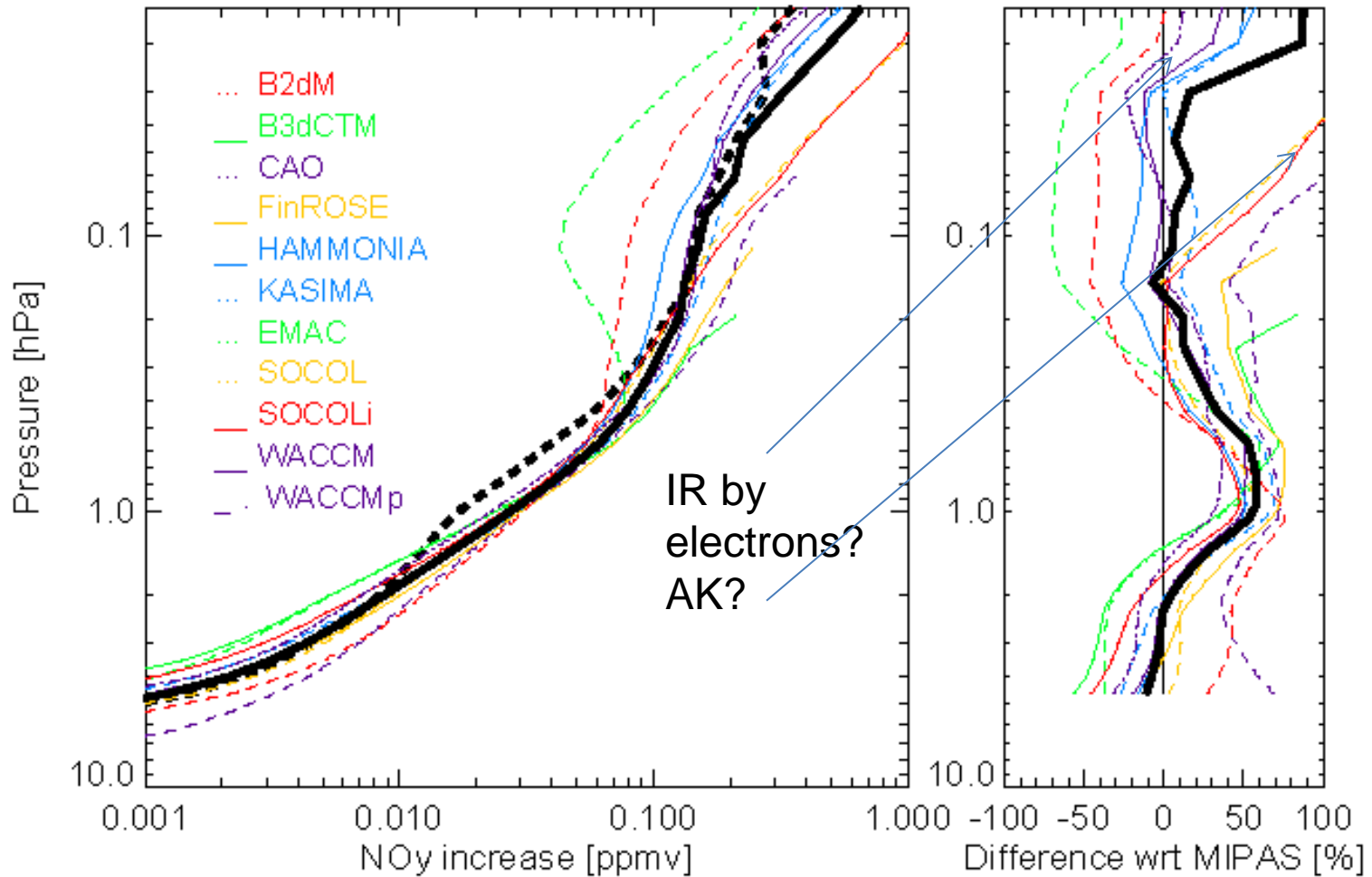
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NO_x (ppbv) from MIPAS. HEPPA-2, Courtesy of B. Funke

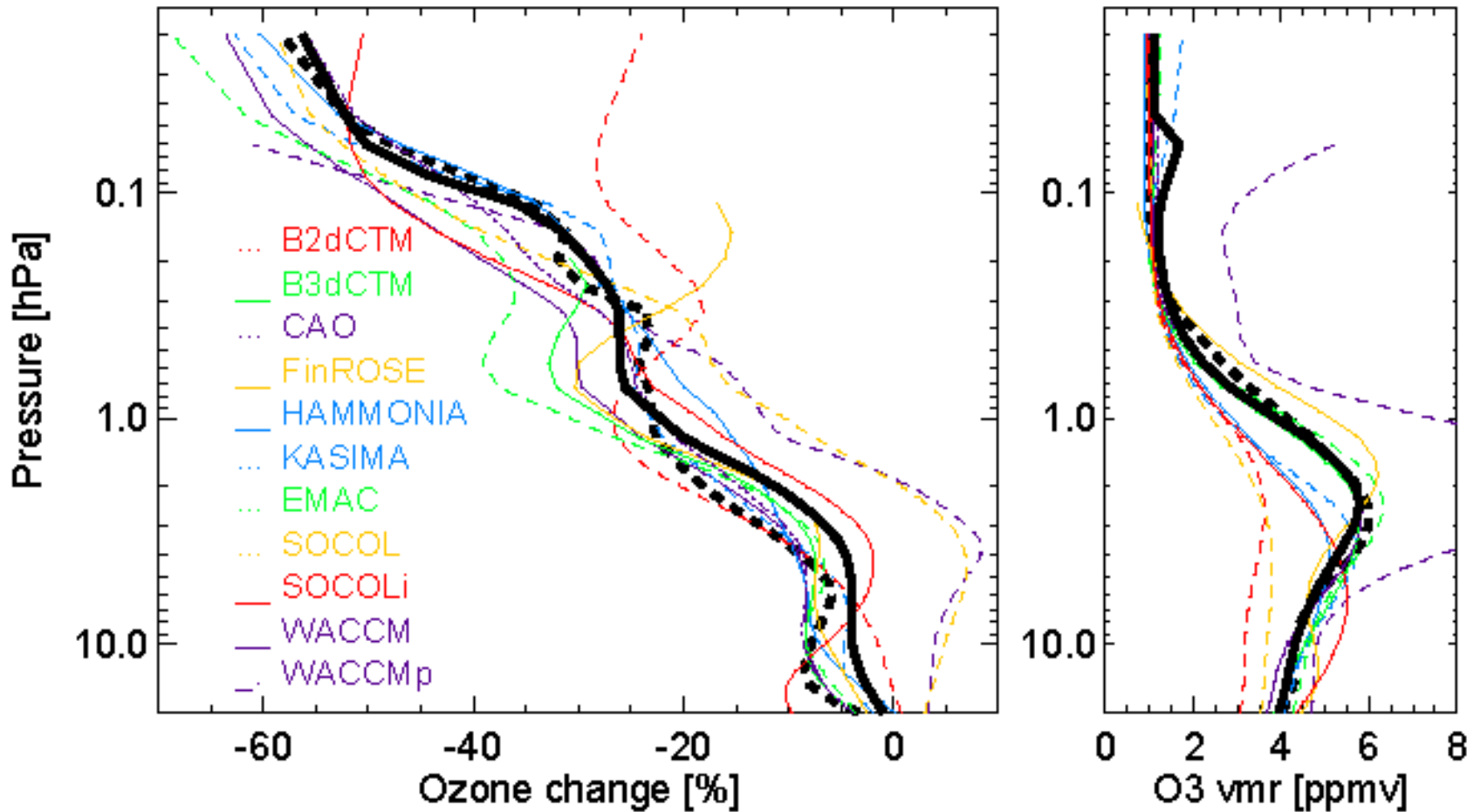
Modeling of the solar protons direct effects

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Observed and modeled NO_y enhancement during October 2003 SPE, 70–90°N, Funke et al., 2011

Modeling of the solar protons direct effects



Observed and modeled O₃ depletion during October 2003
SPE, 70–90°N, Funke et al., 2011

Modeling of the RE direct effects

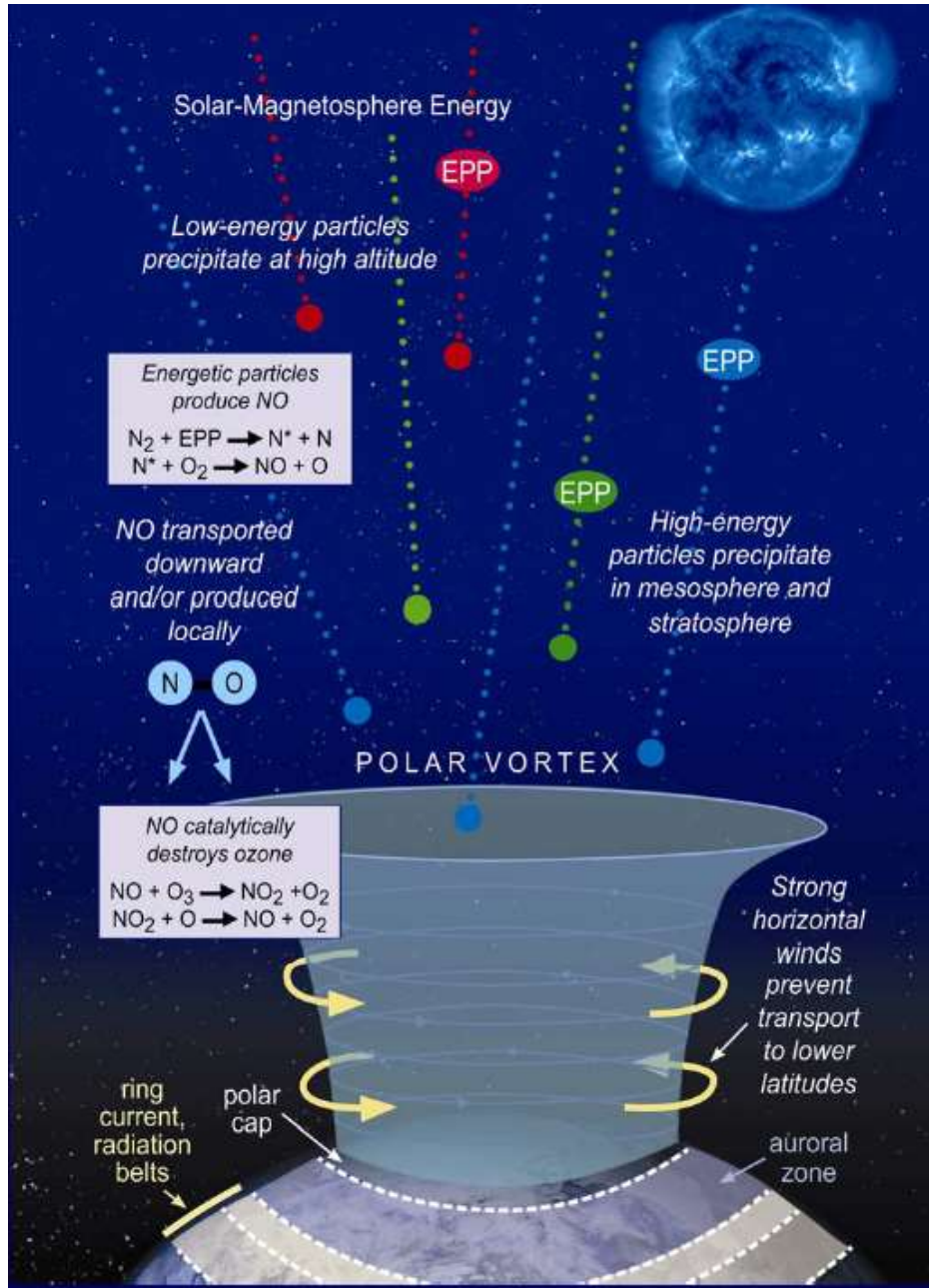
I could not find proper observation vis CCMs comparison.
Possible but difficult due to poor knowledge of electron
fluxes and IR.

Modeling of the GCR direct effects

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I could not find proper observation vis CCMs comparison.
Could be possible to look at NO_x in the polar UTLS after
FD or GLE?

Indirect effects via transport/chemistry



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Baily et al., 2009

Treatment of the auroral electrons in low top CCMs (EMAC, SOCOL)

following time dependency was chosen:

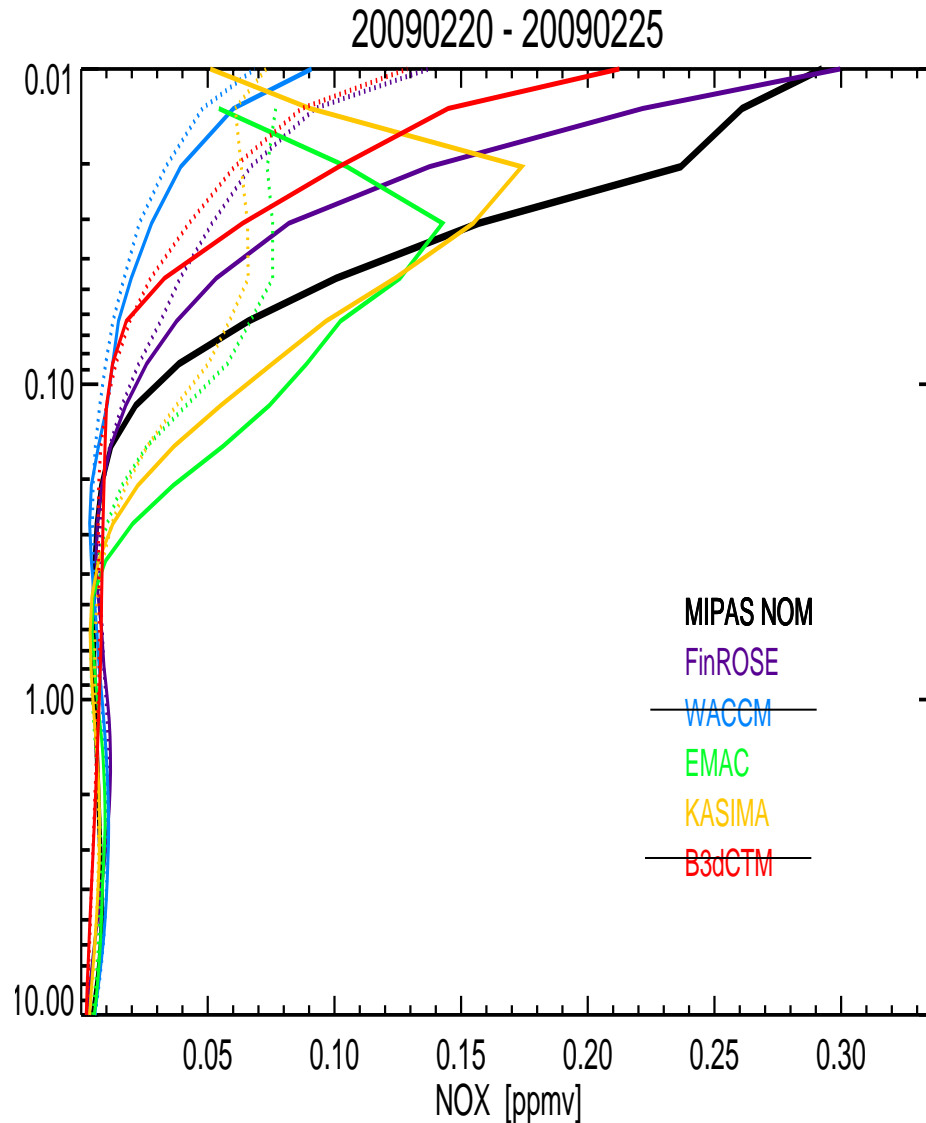
$$F = A_p^{2.5} \cdot c \cdot 2.20 \times 10^5 \text{ cm}^{-2} \text{ s}^{-1} \cdot \max(0.1, \cos(\pi/182.625 \cdot (d - 172.625))) , \quad (4)$$

where d is day of year. This sinusoidal variation centered around solstice represents the minimum requirement of a seasonal variation with maximum in winter. The 10% flux

Baumgartner et al, 2009

Modeling of the auroral electrons indirect effects

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NO_x (ppbv) from MIPAS. HEPPA-2, Courtesy of B. Funke

Modeling of the auroral electrons indirect effects

4

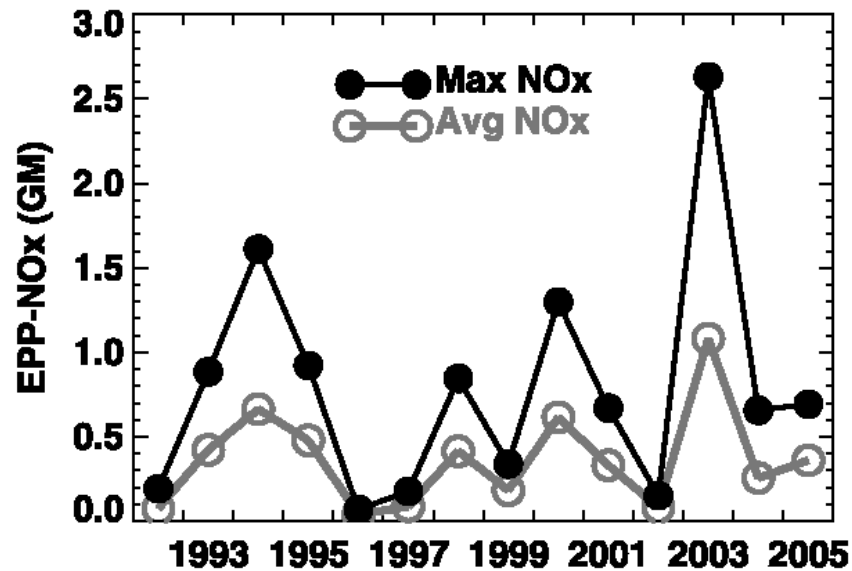
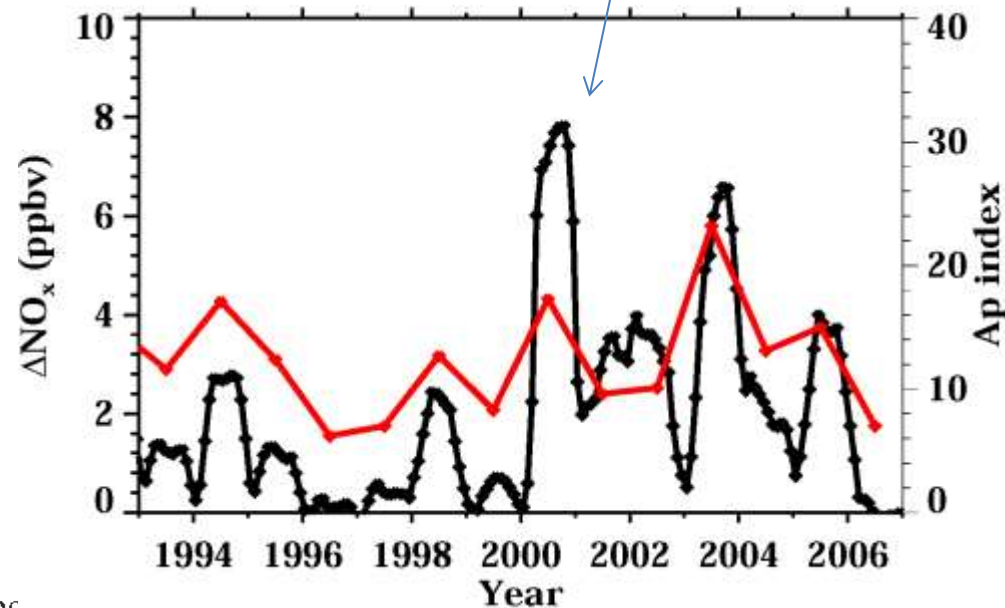


Figure 9. Annual EPP-NO_x at 45 km in the SH arising from the EPP IE, calculated from the average NO_x residuals corresponding to CH₄ < 0.27 ppmv (open circles, gray) or the maximum NO_x residuals (dots, black).

Circulation effect



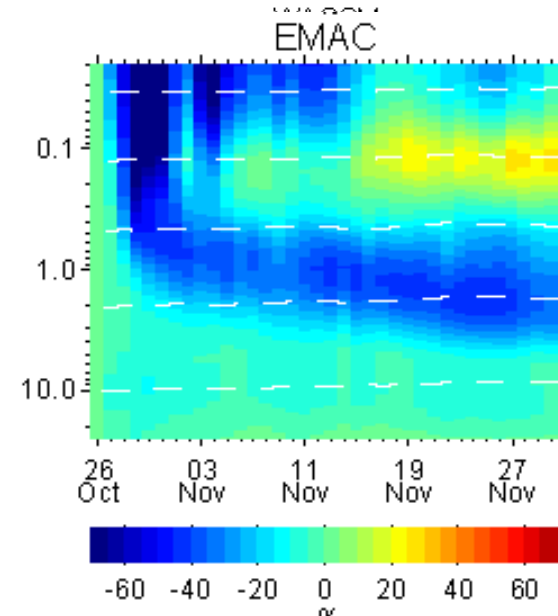
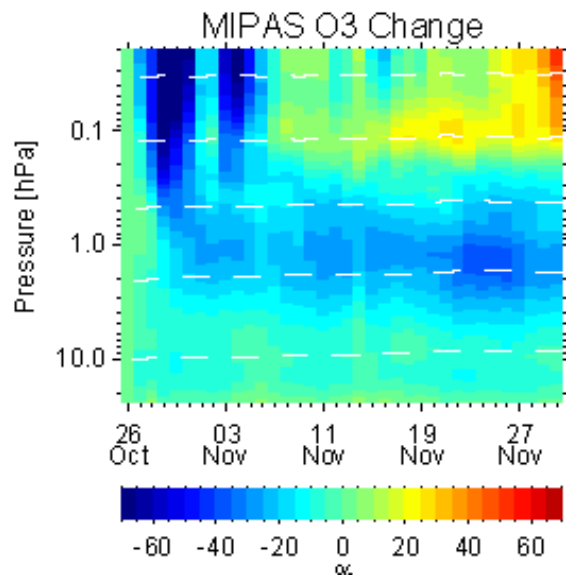
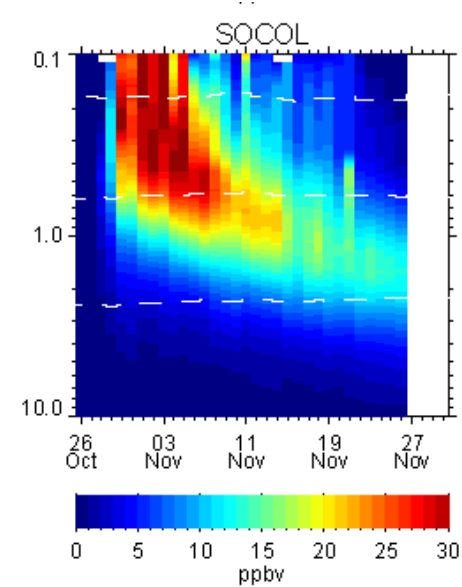
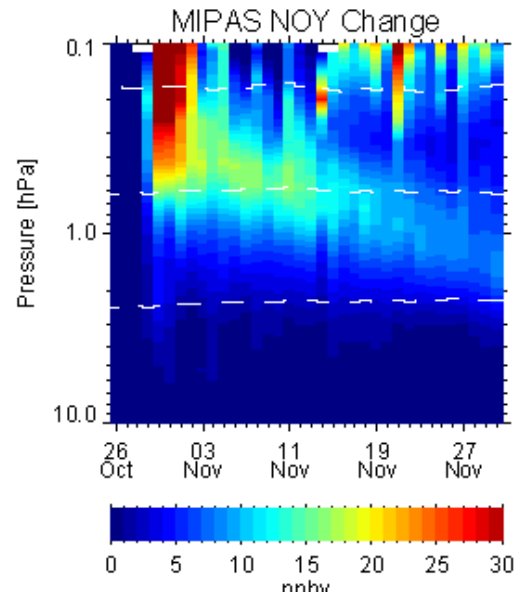
Randall et al., 2007

Rozanov et al., 2012

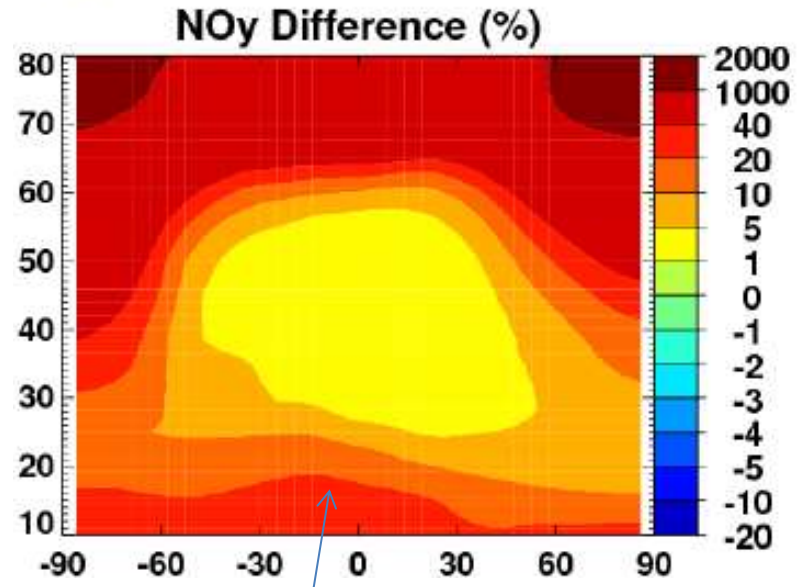
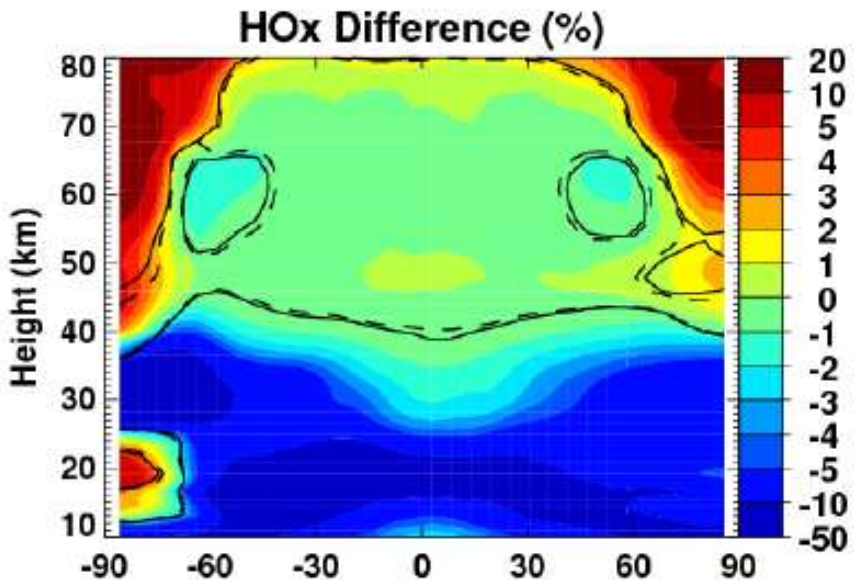


Modeling of the solar protons indirect effects

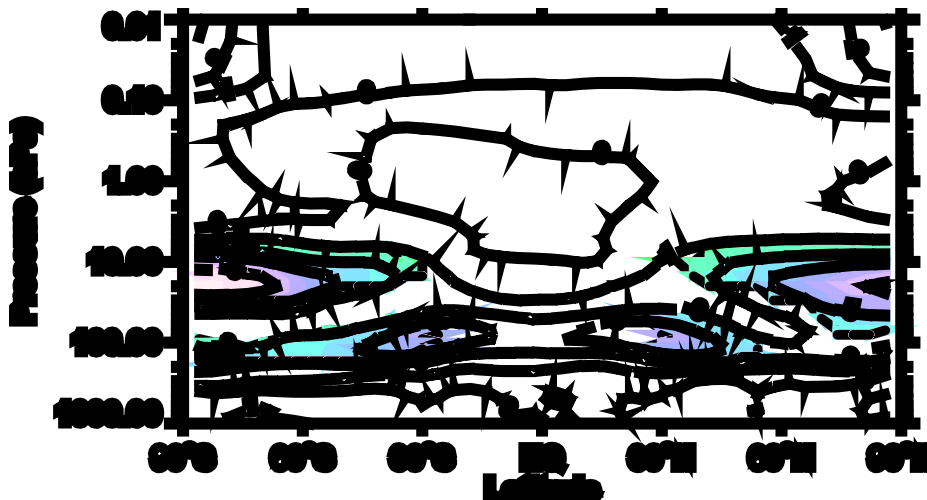
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EP influence on model climatology



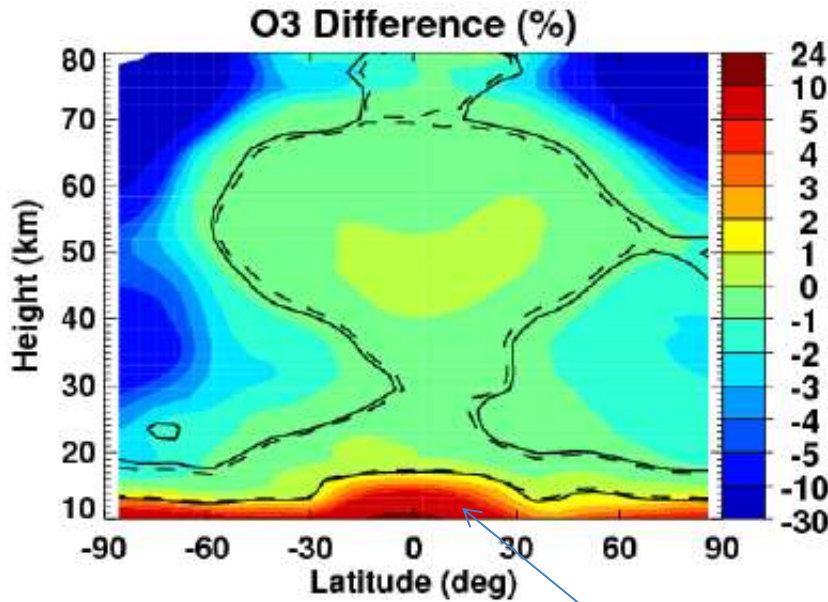
CMAM, Semeniuk et al., 2011



SOCOL v2.0, Rozanov et al., 2012

GCR !!!

EP influence on model climatology



CMAM,
Semeniuk et al., 2011



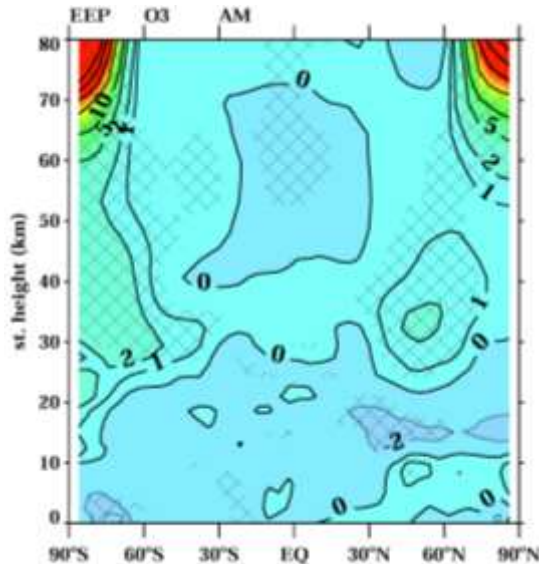
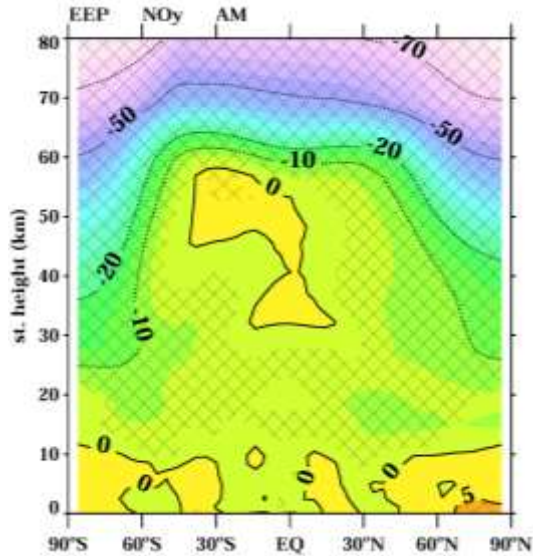
SOCOL v2.0,
Rozanov et al., 2012

GCR !!!

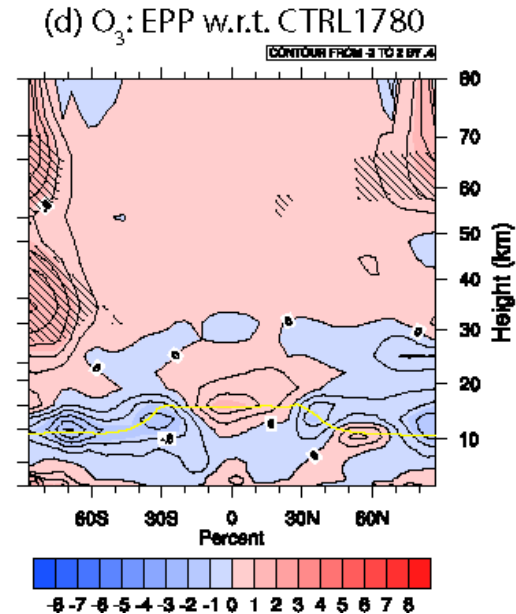
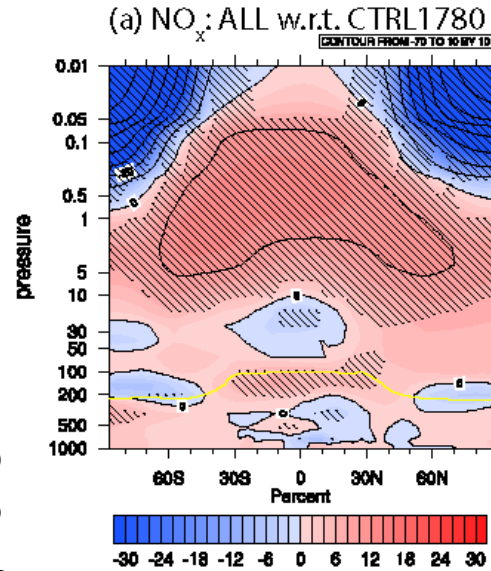
The effects of solar activity decline in SOCOL 2.0 (left) and 3.0 (right)

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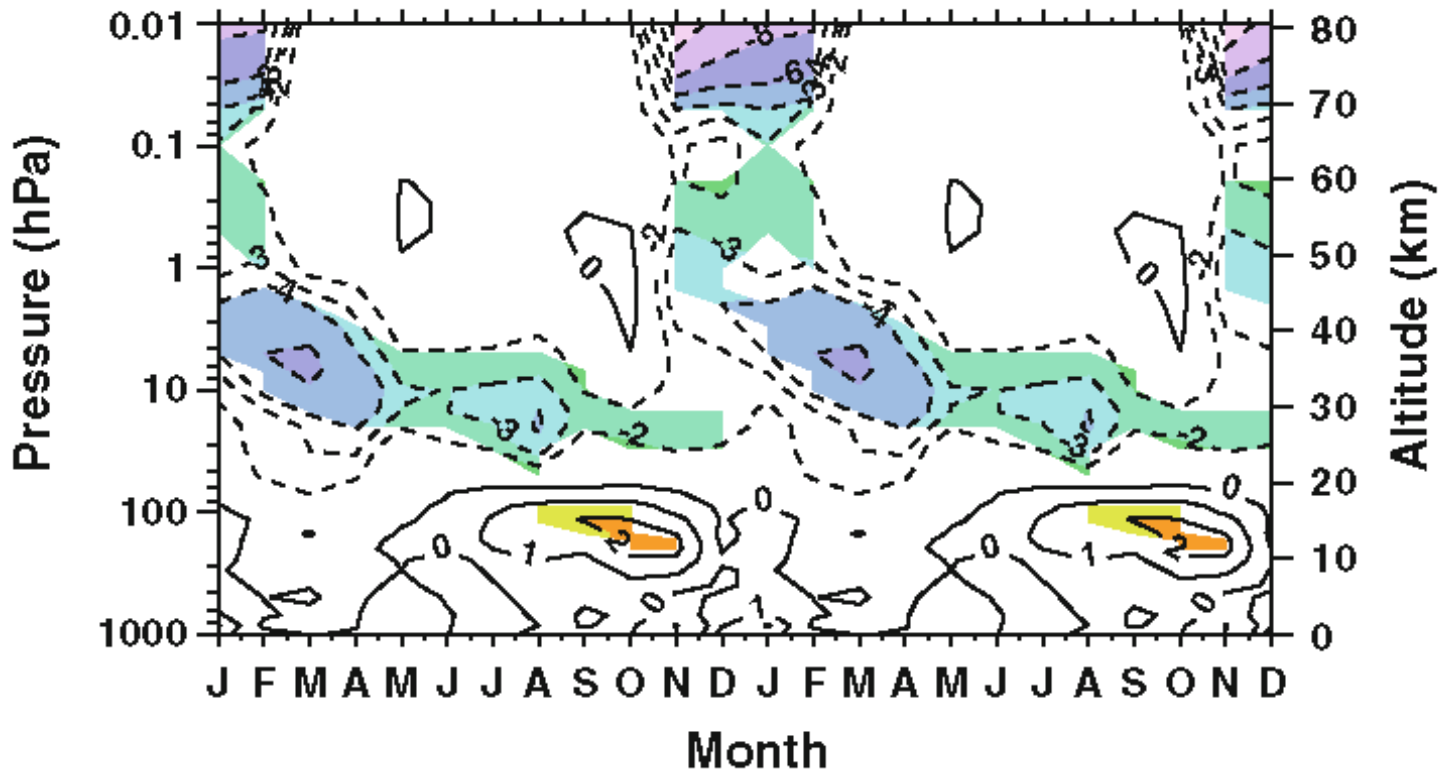
Rozanov et al., 2012



Anet et al., 2013

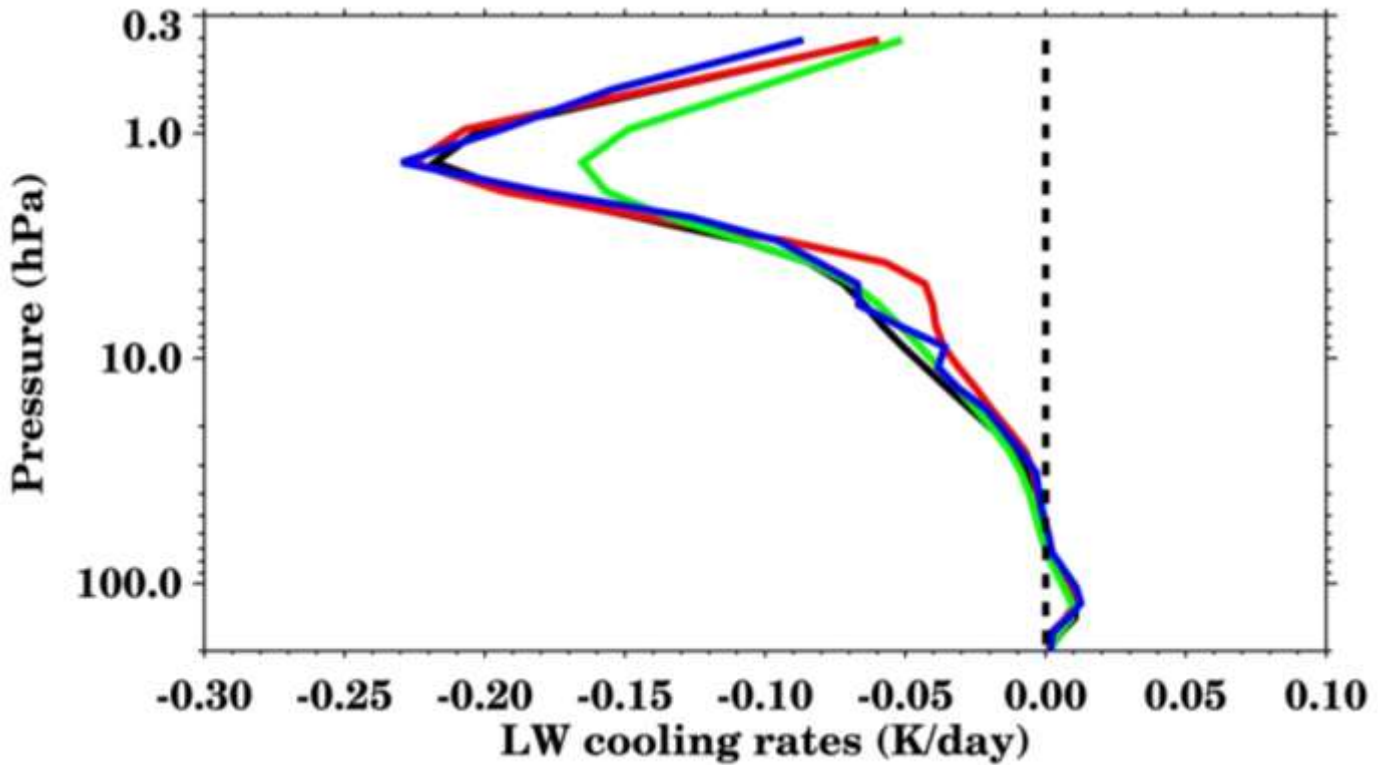


EP influence on model ozone climatology



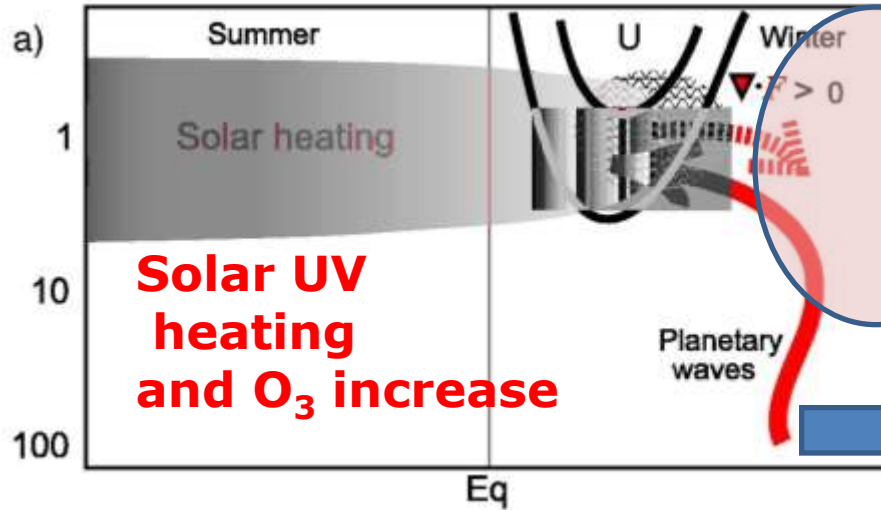
Ozone change by EPP, 70-90°N, CCM SOCOL v2.0,
Rozanov et al., 2012

Effects of the auroral electrons

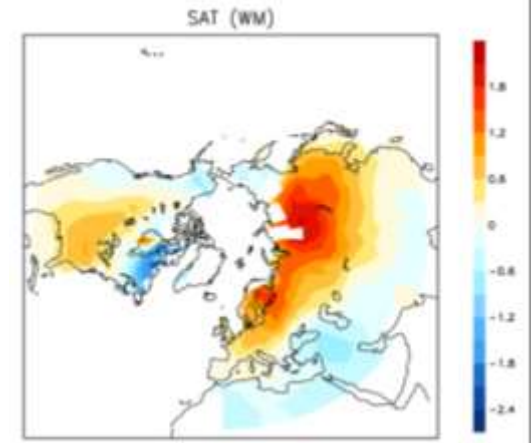


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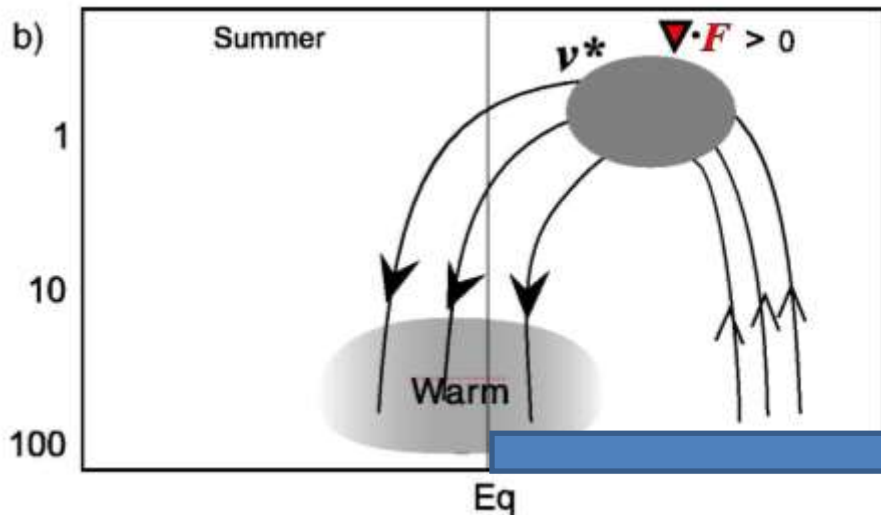
Downward propagating response or 'top-down' route



EPP cooling and O₃ decrease



Thomson & Wallace (1998)

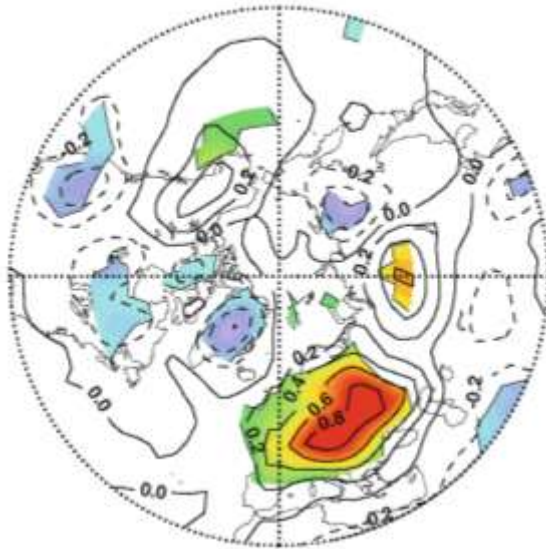


Kodera and Kuroda, (2002)

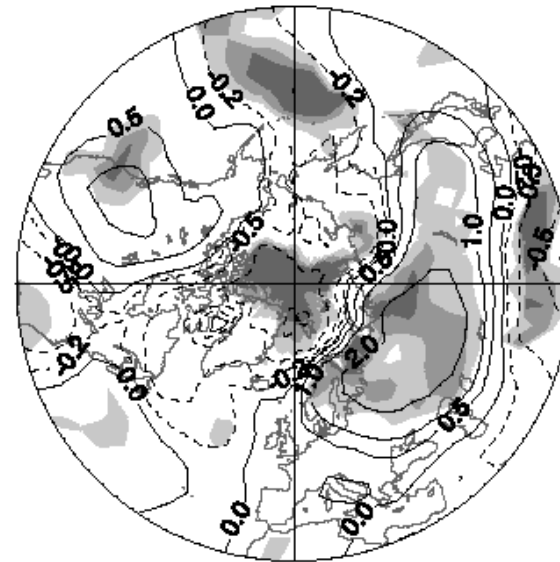
Hadley sell shift and ... (J.Haigh)

EP influence on surface air temperature

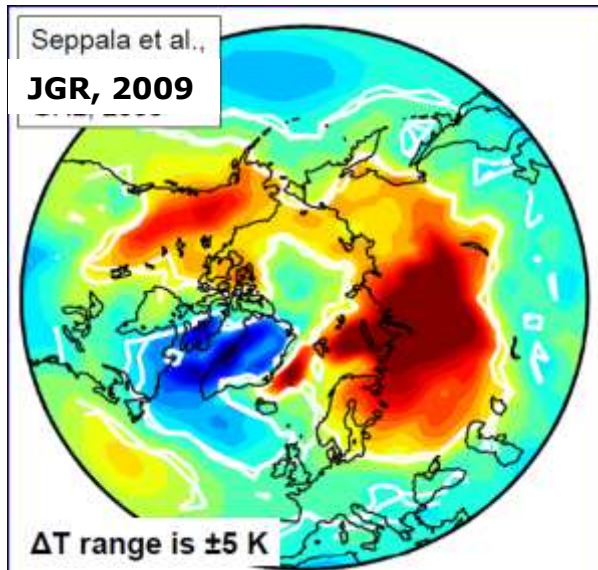
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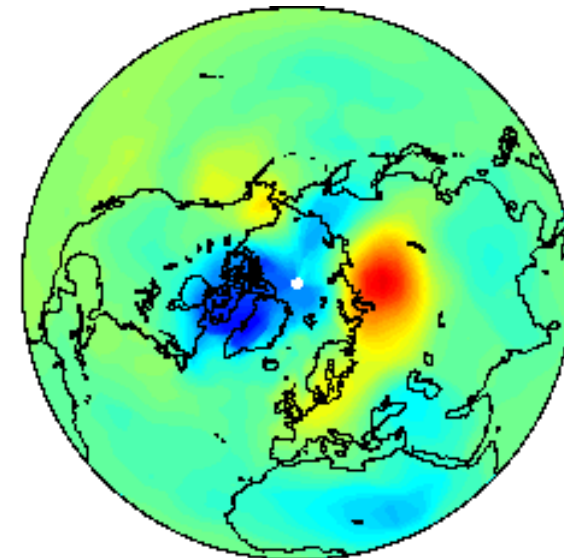
DJF,
SOCOL
v2.0, all EP
Rozanov et
al., 2012



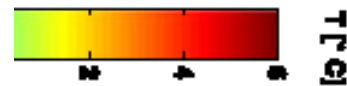
DJF, UIUC
CCM, RE
Rozanov et
al., 2005



DJF
composite
High Ap-
Low Ap
SAT from
ERA-40



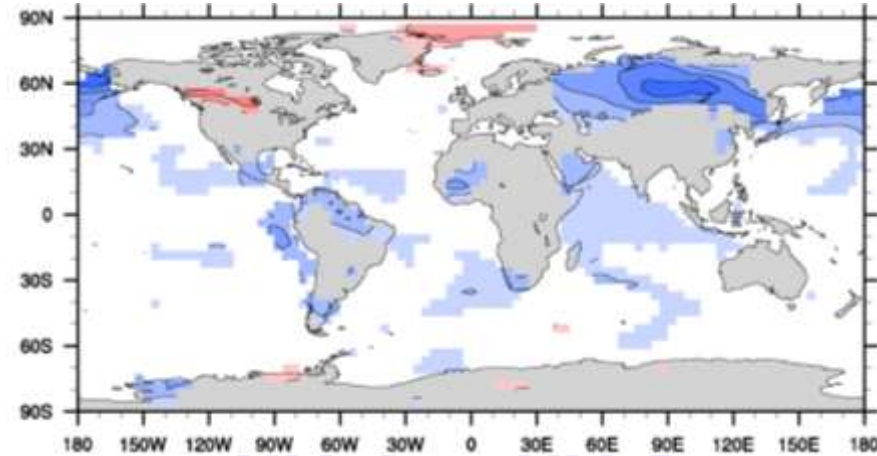
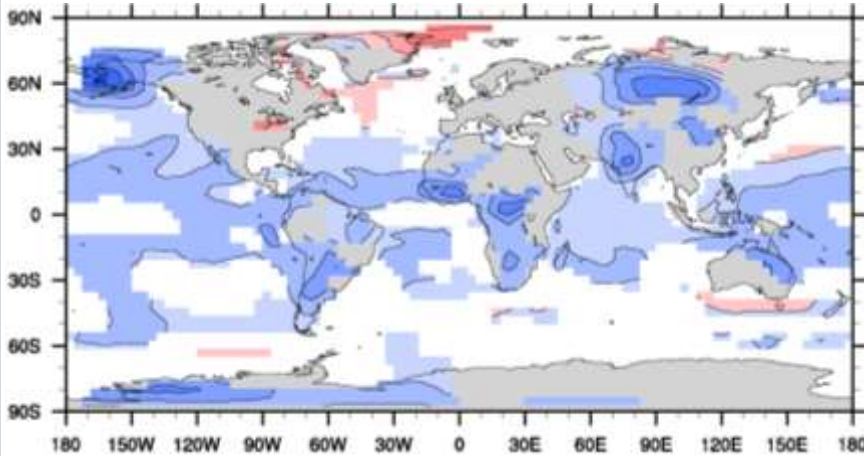
NDJ
composite
High D1-
Low D1
from GISS
Maliniemi
et al.,
2013



DJF mean surface air temperature change (K) during DM due to different forcing

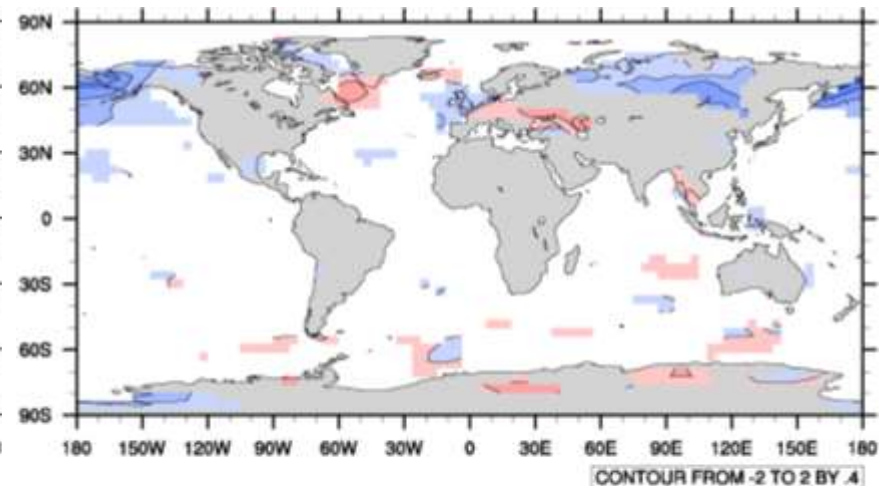
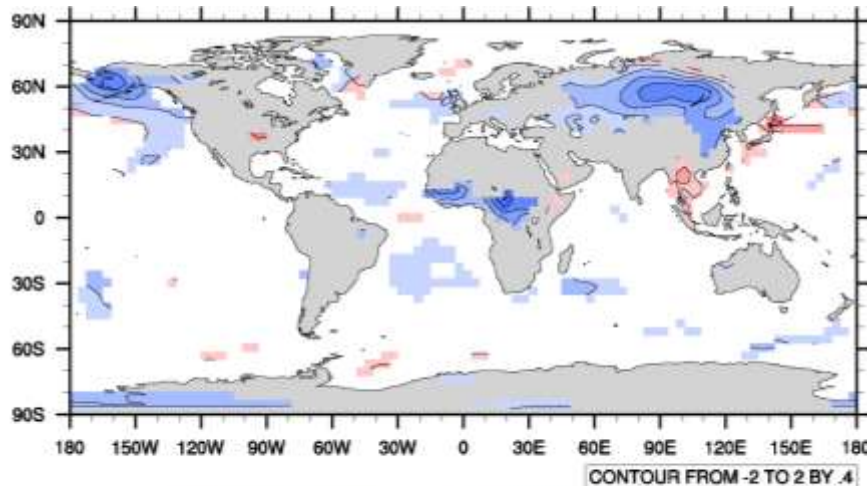
ALL

UV-C + VIS + NIR



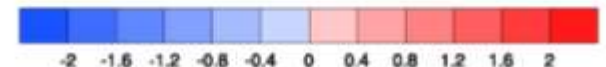
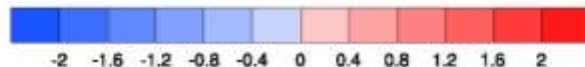
Volcanos

UV-A + UV-B



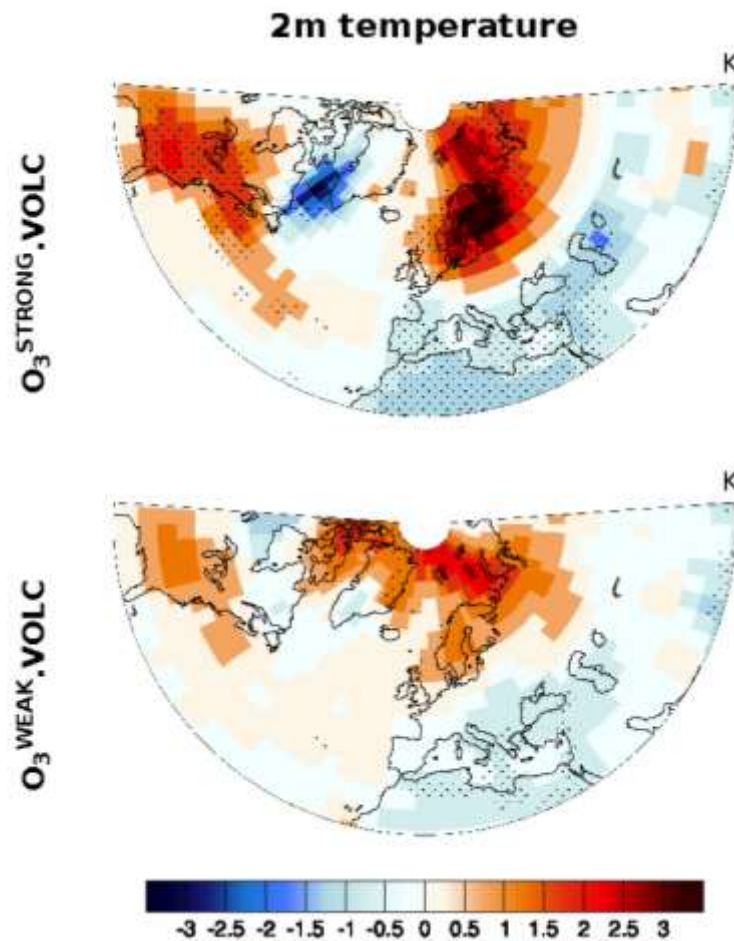
CONTOUR FROM -2 TO 2 BY .4

CONTOUR FROM -2 TO 2 BY .4



Model response to volcanic eruption

ISSI team meeting, Bern, 25 March, 2014



Conclusions

The progress in the understanding and characterization of the EP effects on ozone and climate is substantial (First time ever EP is defined as a forcing for CCMI)

There are still many problems to address:

1. Ionization rates by RBE
2. Downward propagation of NO_x from lower thermosphere (HEPPA-2)
3. Climate response, top-down mechanism efficiency
4. More careful model evaluation to understand why the particle effects heavily depends on model state
5. Resolving the disagreement between the magnitude of GCR effects in the troposphere (more models are needed)
6. Projection of EP fluxes and spectrum behavior for future grand minimum of the solar activity