Overview of the status of the PARMIO model

Emmanuel P. Dinnat

NASA Goddard Space Flight Center

Outline

- Overview of model features
- Details of model modules
- Enhancements for project PARMIO
- Code and Repository status
- Remaining issues

Overview of model features

- Model computes radiative parameters (Brightness/Apparent Temperatures, Normalized Radar Cross Section) over ocean surface as a function of environmental parameters and sensor specifications (e.g. incidence angle, frequency)
- Model components
 - Flat ocean surface : Fresnel reflection
 - Surface roughness : ocean waves of all scales through sea surface power spectrum and/or slope variance
 - Foam coverage and emission
 - Swell
 - Hydrodynamic modulation for upwind / downwind roughness asymmetry
 - Atmospheric model : limited to Standard US atmosphere vertical profile prescribed by surface parameters
- Inputs :

<u>Sensor</u> : Electromagnetic Wavelength, Earth incidence angle

<u>Geophysical</u> : Sea Surface Temperatures & Salinity, Wind speed & altitude, Atmospheric stability, Swell RMS height and peak wavelength <u>Model options</u> : Two-scale cutoff wavenumber, Model for drag coefficient, slope variance, sea spectrum, foam emissivity and coverage fraction, sea water dielectric constant, EM model (Small Perturbations Method, 2-scale, GO)

Outputs: Polarimetric (V, H, 3rd and 4th Stokes) radiative quantities (TB/NRCS) for smooth surface & induced by roughness, with / without foam, with / without atmosphere; Roughness-induced component is provided as harmonic coefficients of the azimuth angle vs wind direction (φ), e.g.

 $T_{b,rough} = T_0 + T_1 \cos \varphi + T_2 \cos 2\varphi$

Details of model modules

Sea water Dielectric Constant Models

- Klein & Swift 1977 : Developed from measurements at L- (1.4 GHz) and S-band (2.65 GHz); Used at 1.4 GHz (SSS) and 6 GHz (SST); Biased in cold waters (< 5degC) at both frequencies.
- Ellison et al. 1998 : Developed from measurements between 3 20 GHz + 23.8, 36.5, 89 GHz.
- Meissner et al. (2004, 2012, 2014): Adjusted / Validated on remote sensing data from 1.4 to 89 GHz.
- High frequency model from 28.8 to 449677 GHz (Stuart Newman).

Electromagnetic Models for Rough Surface

- Small Perturbation Method (SPM)
- GO (applicable at high MW and IR)
- 2-scale (adjustable cutoff)





Foam Coverage / Fraction Models

- Monahan & O'Muircheartaigh (1986) : commonly used model that depends on wind speed and atmospheric stability.
- Monahan & Lu (1990): distinguish different lifetime stages for foam bubles.
- WISE2001 : Empirical model from the WISE 2001 campaign (unpublished).
- Yin et al. (2016) : semi-empirical model, adjusted on SMOS observations at L-band. 5 parameterizations according to sea spectrum & wind product used. Requires consistent sea spectrum and foam emissivity models.

Foam Emissivity Models

- Stogryn (1972)
- Yin et al. (2016): Use multilayer model by Anguelova and Gaiser (2013). Same as coverage model, it is adjusted on SMOS observations, , 5 versions according to sea spectrum model and wind product used.
- Anguelova et al. (2022): multi-frequency tuned multilayer model (see later slide).

Swell

- Simple model from Durden and Vesecky (1985)
- Swell adds Gaussian spectrum to sea spectrum in the large wave doman => impact the model through large scales slope variances
- Adjustable parameters:
 - RMS height
 - Half power widths of swell Gaussian PDF along and across wind
 - Peak PDF swell along and across wind

Roughness Sea Surface Spectrum Models

- Durden and Vesecky (1985) [DV]: developed for radar at L-band, likely underestimates sensitivity to wind.
- \Rightarrow Spectrum amplitude is adjustable
- \Rightarrow Yueh (1997) model: multiplied DV model by 2
- ⇒ Yin et al. (2016) model : multiplied DV model by 1.25 and adjusted foam model
- Elfouhaily et al. (1998) : developed to be independent of remote sensing data. Good performance reported for C-band scatterometers. Includes wave development (inverse wave age is an input). Issue at L-band: loss of sensitivity to wind speed between 3 – 7 m/s.
- Slope Variance for large scales : computed from sea spectrum for k < kd or from Cox and Munk (1954) model

Drag Coefficient Models

- Used to Convert wind at a reference altitude (commonly 10 m) to other altitudes or to friction velocity used as input to sea spectrum models
- Cardone (1969), Charnock (1955), Donelan et al. (1993) (accounts for inverse wave age)

Enhancements for project PARMIO

- Stuart Newman : High frequency model for the dielectric constant of sea water for the IfraRed (covers 28.8 GHz -449677 GHz)
- Maggie Anguelova : Frequency tuned foam emissivity (Anguelova et al. 2022) that adjusts effective foam thickness (h_{fe}) and void fraction upper limits (v_{afv} , v_{afh}) at frequencies between 1.4 and 89 GHz
 - E. Dinnat modification : original code uses a "band" input that could take 6 discrete values (L, C, X, K, Ka, W)
 - ⇒ Possible to have inconsistent frequencies for rest of the model and the foam emissivity model

 \Rightarrow Foam model usable only at 6 fixed frequencies $f_1 = 1.4$ Ghz, ..., $f_6 = 89$ Ghz

New code: uses the frequency f_0 used by the rest of the model and interpolates the tuned foam parameters (h_{fe} , v_{afv} , v_{afh}) from the 6 reference frequencies $f1 \rightarrow f6$ to f_0 . If f_0 is out of the domain of the model, the closest boundary value is used.

Code and Repository status

- All team members contributions are included and merged, available on GitHub
- Code Improvements
 - Improved portability by fixing hardcoded paths to data files
 - Improved speed of foam models Yin et al. 2016 and Anguelova et al. 2022 (frequency tuned model)
 - Fixed multiple warnings and bugs
 - Added "inconsistency" warnings for some selection of models for foam emissivity and fraction
- Improvements to Documentation
 - Added list of folders and sub-folders wih content description
 - New expended header for main program
 - Cleaned up and translated (French -> English) all comments in main program
 - New header for all subroutines
 - Diagram of subroutines relationships

Remaining work

- Assess validity of atmospheric model for high frequencies IR
- Update NRCS / BRDF component to bring up to speed with TB
- Improve documentation of subroutines
- Muti-layer foam models (Anguelova et al. 2022, Yin et al. 2016) generate warning message

References 1/2

Anguelova, M. D., Dinnat, E., Kilic, L., Bettenhausen, M. H., English, S., Prigent, C., Meissner, T., Boutin, J., Newman, S., Johnson, B., Yueh, S., Kazumori, M., Weng, F., Stoffelen, A., & Accadia, C. (2022, June). Foam emissivity modelling with foam properties tuned by frequency and polarization. *International Geoscience and Remote Sensing Symposium (IGARSS)*.

Anguelova, M. D., & Gaiser, P. W. (2013). Microwave emissivity of sea foam layers with vertically inhomogeneous dielectric properties. *Remote Sensing of Environment*, *139*, 81–96. https://doi.org/10.1016/j.rse.2013.07.017

Cardone, V. J. (1969). Specification of the wind distribution in the marine boundary layer for wave forecasting. https://doi.org/10.21236/AD0702490

Charnock, H. (1955). Wind stress on a water surface. Q. J. R. Meteorol. Soc., 81, 639-640.

Cox, C., & Munk, W. (1954). Measurement of the Roughness of the Sea Surface from Photographs of the Sun's Glitter. *Journal of the Optical Society of America*, 44(11), 838–850. https://doi.org/10.1364/JOSA.44.000838

Dinnat, E. P., Boutin, J., Caudal, G., & Etcheto, J. (2003). Issues concerning the sea emissivity modeling at L band for retrieving surface salinity. *Radio Science*, 38(4), n/a-n/a. https://doi.org/10.1029/2002RS002637

Donelan, M. A., Dobson, F. W., Smith, S. D., & Anderson, R. J. (1993). On the dependence of sea surface roughness on wave development. *Journal of Physical Oceanography*, 23(9), 2143–2149.

Durden, S. L., & Vesecky, J. F. (1985). A Physical Radar Cross-Section Model for a Wind-Driven Sea with Swell. *IEEE Journal of Oceanic Engineering*, 10(4), 445–451. https://doi.org/10.1109/JOE.1985.1145133

Elfouhaily, T., Chapron, B., Katsaros, K., & Vandemark, D. (1997). A unified directional spectrum for long and short wind-driven waves. *Journal of Geophysical Research*, *102*(C7), 15781–15796.

Ellison, W., Balana, A., Delbos, G., Lamkaouchi, K., Eymard, L., Guillou, C., & Prigent, C. (1998). New permittivity measurements of seawater. *Radio Science*, 33(3), 639. https://doi.org/10.1029/97RS02223



Klein, L., & Swift, C. (1977). An improved model for the dielectric constant of sea water at microwave frequencies. *IEEE Transactions on Antennas and Propagation*, *OE-2*(1), 104–111. https://doi.org/10.1109/JOE.1977.1145319

Meissner, T., & Wentz, F. J. (2004). The complex dielectric constant of pure and sea water from microwave satellite observations. *IEEE Transactions on Geoscience and Remote Sensing*, 42(9), 1836–1849. https://doi.org/10.1109/TGRS.2004.831888

Meissner, T., & Wentz, F. J. (2012). The Emissivity of the Ocean Surface Between 6 and 90 GHz Over a Large Range of Wind Speeds and Earth Incidence Angles. *IEEE Transactions on Geoscience and Remote Sensing*, 50(8), 3004–3026. <u>https://doi.org/10.1109/TGRS.2011.2179662</u>

Meissner, T., Wentz, F. J., & Ricciardulli, L. (2014). The emission and scattering of L-band microwave radiation from rough ocean surfaces and wind speed measurements from the Aquarius sensor. *Journal of Geophysical Research C: Oceans*, *119*(9), 6499–6522. https://doi.org/10.1002/2014JC009837

Monahan, E. C., & Lu, M. (1990). Acoustically relevant bubble assemblages and their dependence on meteorological parameters. *IEEE Journal of Oceanic Engineering*, 15(4), 340–349.

Monahan, E. C., & O'Muircheartaigh, I. G. (1986). Whitecaps and the passive remote sensing of the ocean surface. International Journal of Remote Sensing, 7(5), 627–642.

Stogryn, A. (1972). The emissivity of sea foam at microwave frequencies. Journal of Geophysical Research, 77(9), 1658–1666.

Yin, X., Boutin, J., Dinnat, E., Song, Q., & Martin, A. (2016). Roughness and foam signature on SMOS-MIRAS brightness temperatures: A semi-theoretical approach. *Remote Sensing of Environment*, 180, 221–233. https://doi.org/10.1016/j.rse.2016.02.005

Yueh, S. H. (1997). Modeling of wind direction signals in polarimetric sea surface brightness temperatures. *IEEE Transactions on Geoscience and Remote Sensing*, 35(6), 1400–1418. https://doi.org/10.1109/36.649793